

## **lecture 19.1.2012**

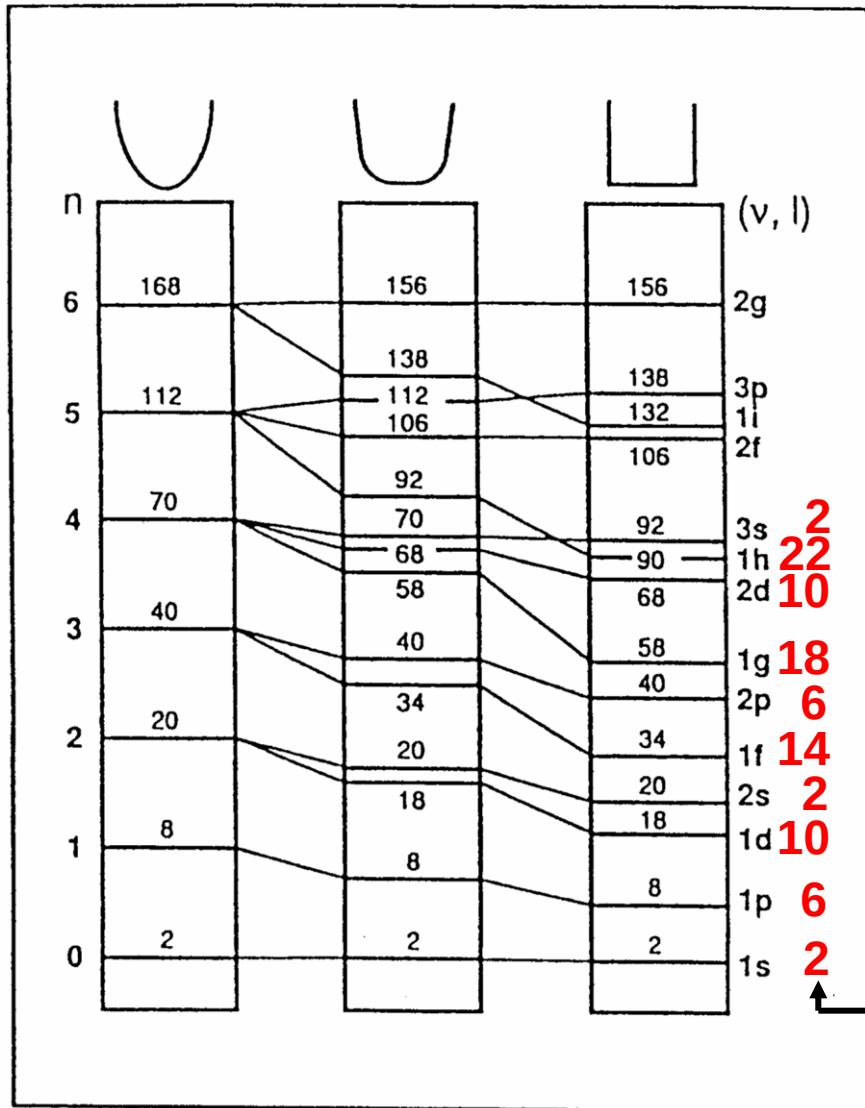
we had so far:

- binding in clusters and their appearances in mass spectra
  - d) metallic bonding

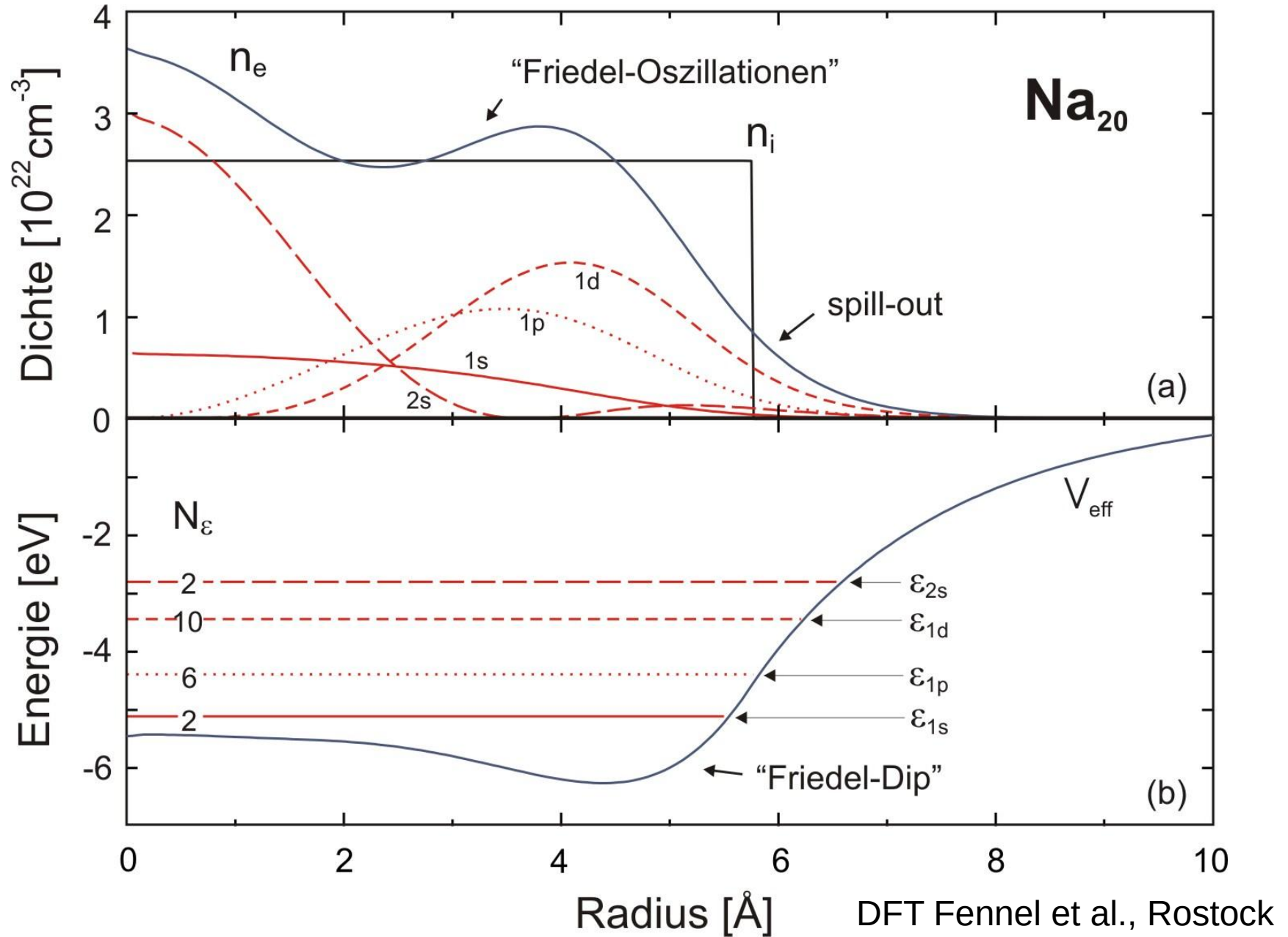
today

- more to metallic bonding
- photoelectron spectroscopy
- trends in photoionization and detachment energies

# The spherical jellium model: role of the potential like in *nuclear physics*



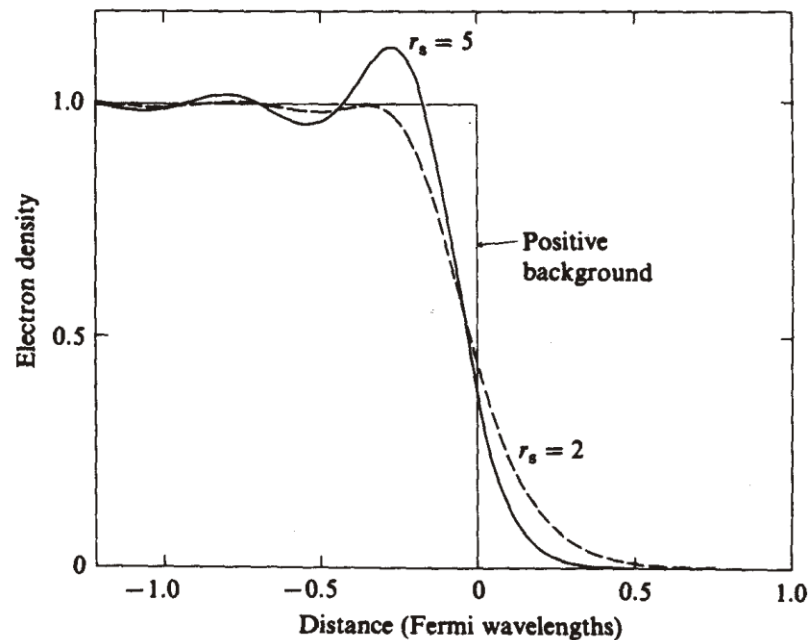
# the jellium model



# compare: metal surfaces - resulting electron density

- electron density  $n(z)$  shows oscillations (Friedel oscillations)
- $n(z)$  spills out beyond the ionic charge (electron spill-out)
- spill-out produces a surface dipole

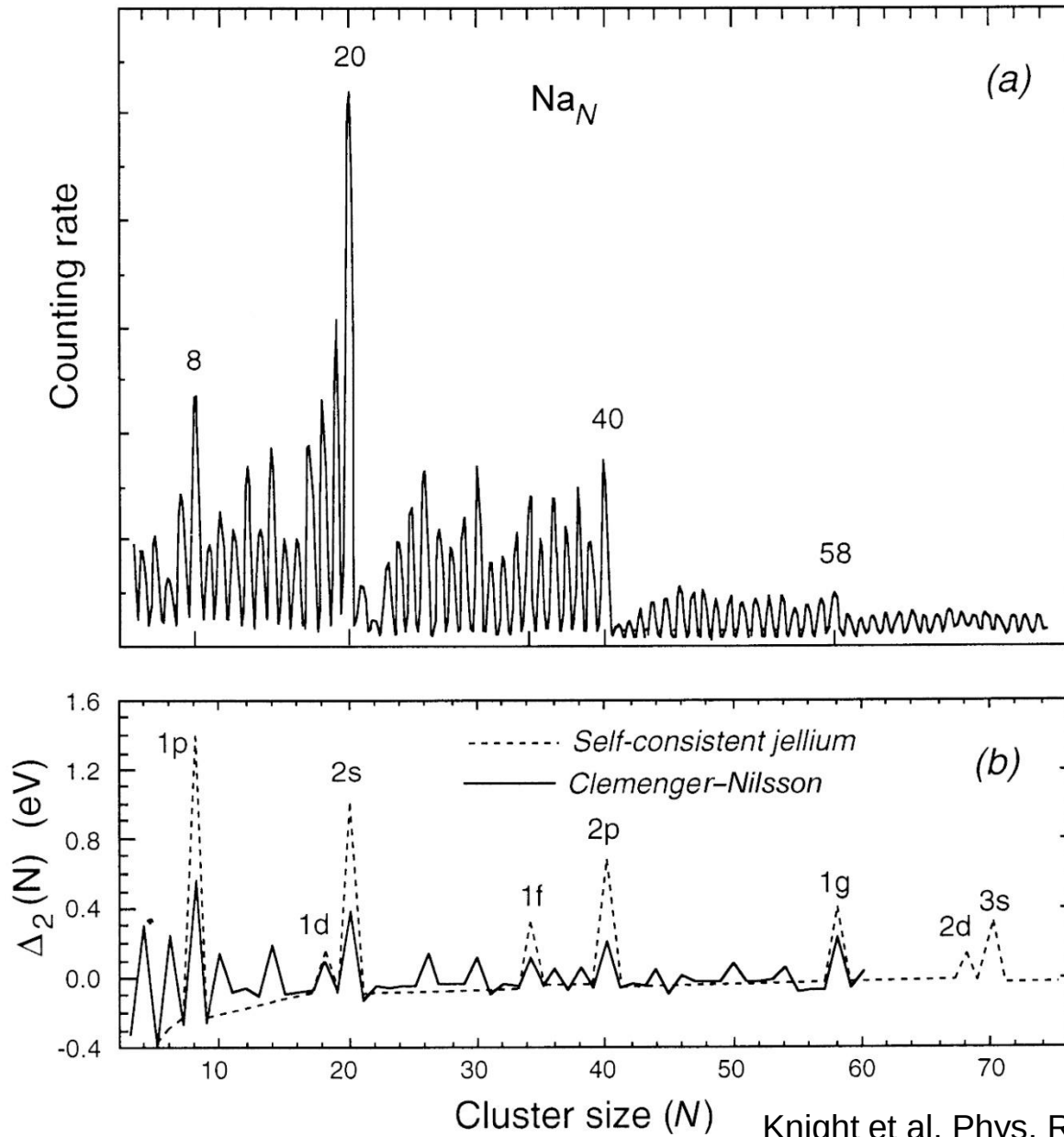
Fig. 4.2. Electron density profile at a jellium surface for two choices of the background density,  $r_s$  (Lang & Kohn, 1970).

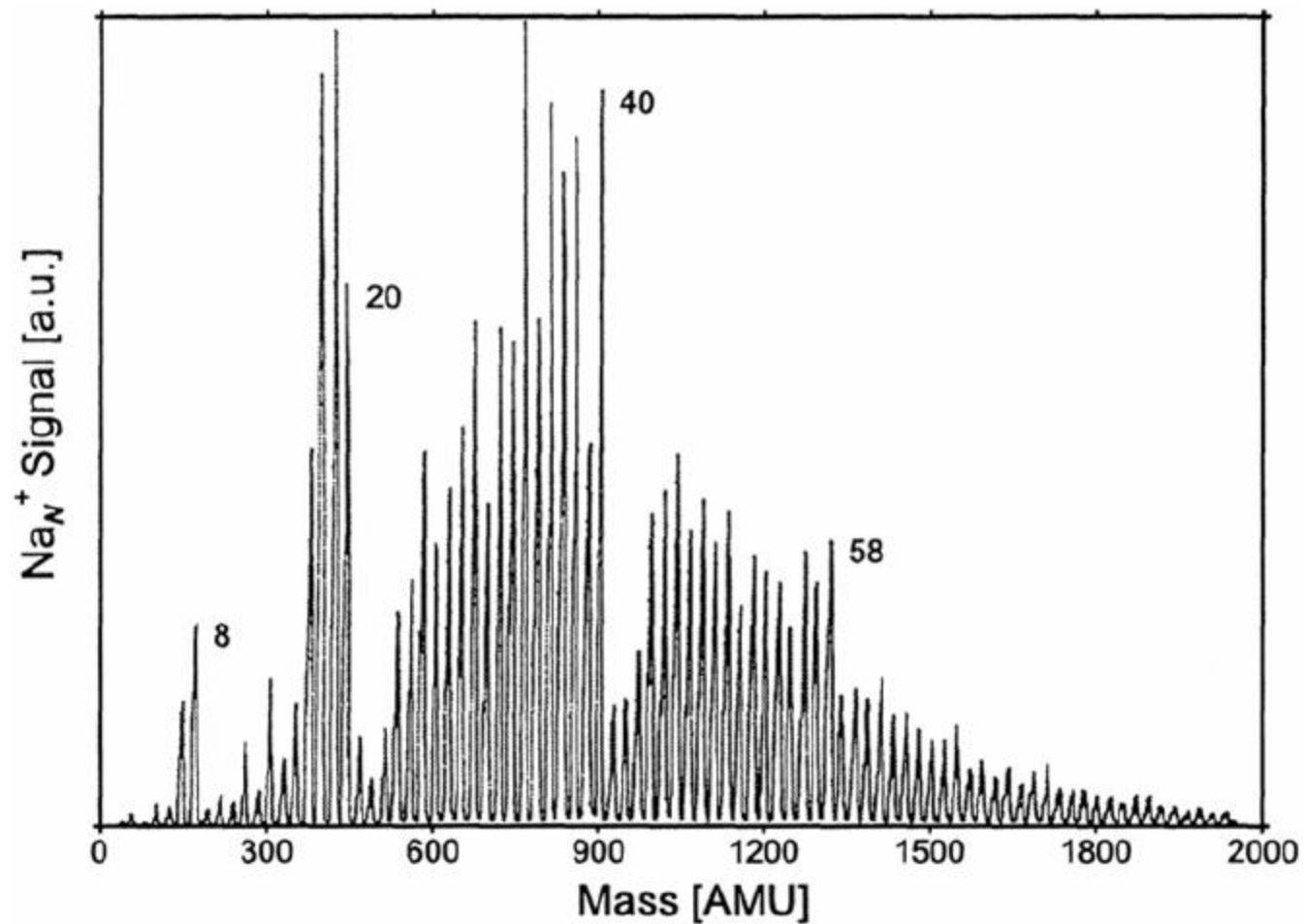


## Ursache der Oszillation:

Elektronen mit festen Wellenvektor versuchen pos. Hintergrundladung abzuschirmen; hieraus folgen leichte Verschiebungen der einzelnen Atomlagen im Bereich der Oberfläche

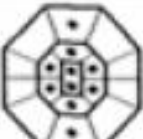
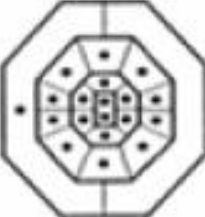
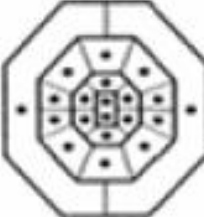
# jellium fingerprint in the mass spectra





M. Kappes, R. W. Kunz, und E. Schumacher,  
*Chem. Phys. Lett.* 91, 413(1982)

# like a periodic table: the shell model for Na clusters

| Shell | Monovalent                                                                                             |                                                                                                       |          |                                                                                                         | Closed shells                                                                                            |
|-------|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|----------|---------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| 1s    | <br>Na                |                                                                                                       |          |                                                                                                         | <br>Na <sub>2</sub>   |
| 1p    | <br>Na <sub>3</sub>   | <br>Na <sub>4</sub>  | — ... —▶ | <br>Na <sub>7</sub>  | <br>Na <sub>8</sub>   |
| 1d    | <br>Na <sub>9</sub>   | <br>Na <sub>10</sub> | — ... —▶ | <br>Na <sub>17</sub> | <br>Na <sub>18</sub>  |
| 2s    | <br>Na <sub>19</sub> |                                                                                                       |          |                                                                                                         | <br>Na <sub>20</sub> |

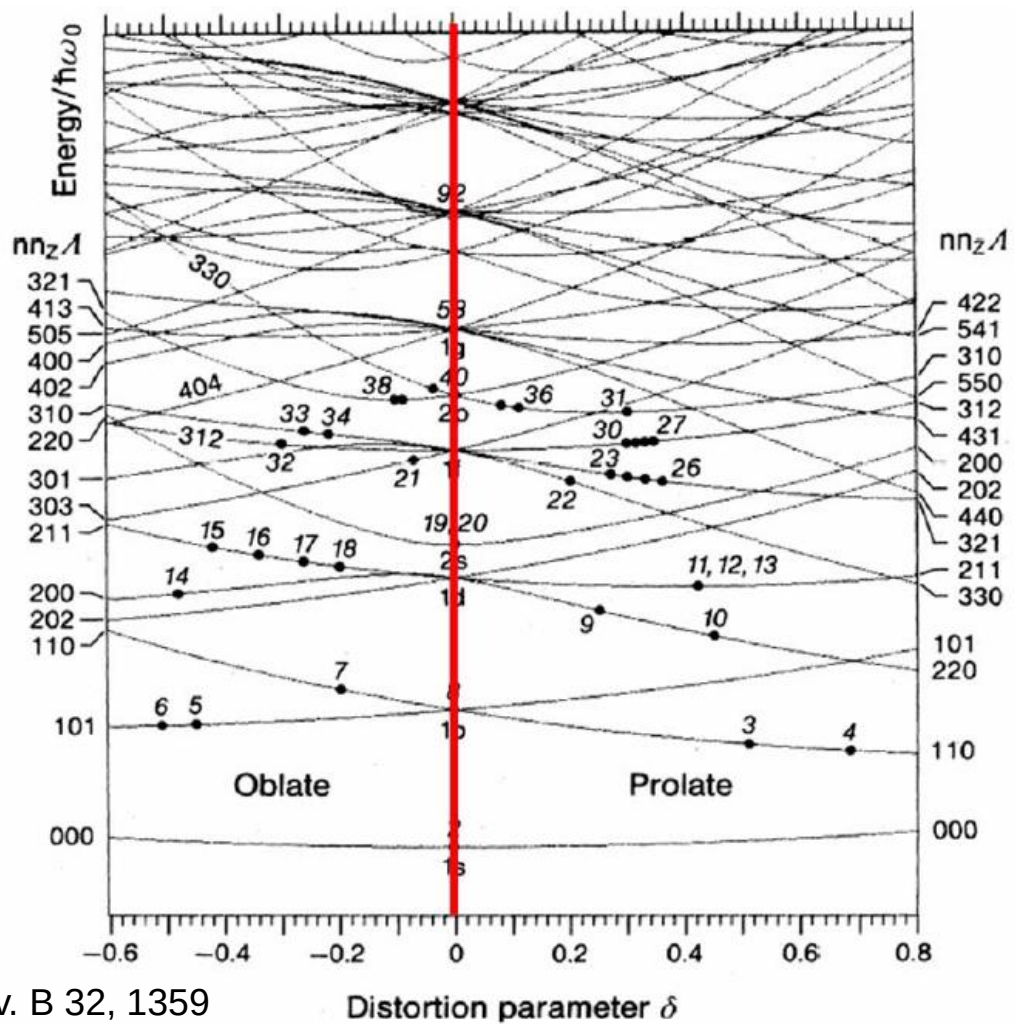
in *Metal Clusters at Surfaces*, KH Meiwes-Broer, Springer 2000

# sub shells through distortion: the Clemenger-Nielsson model

oblate  
deformation

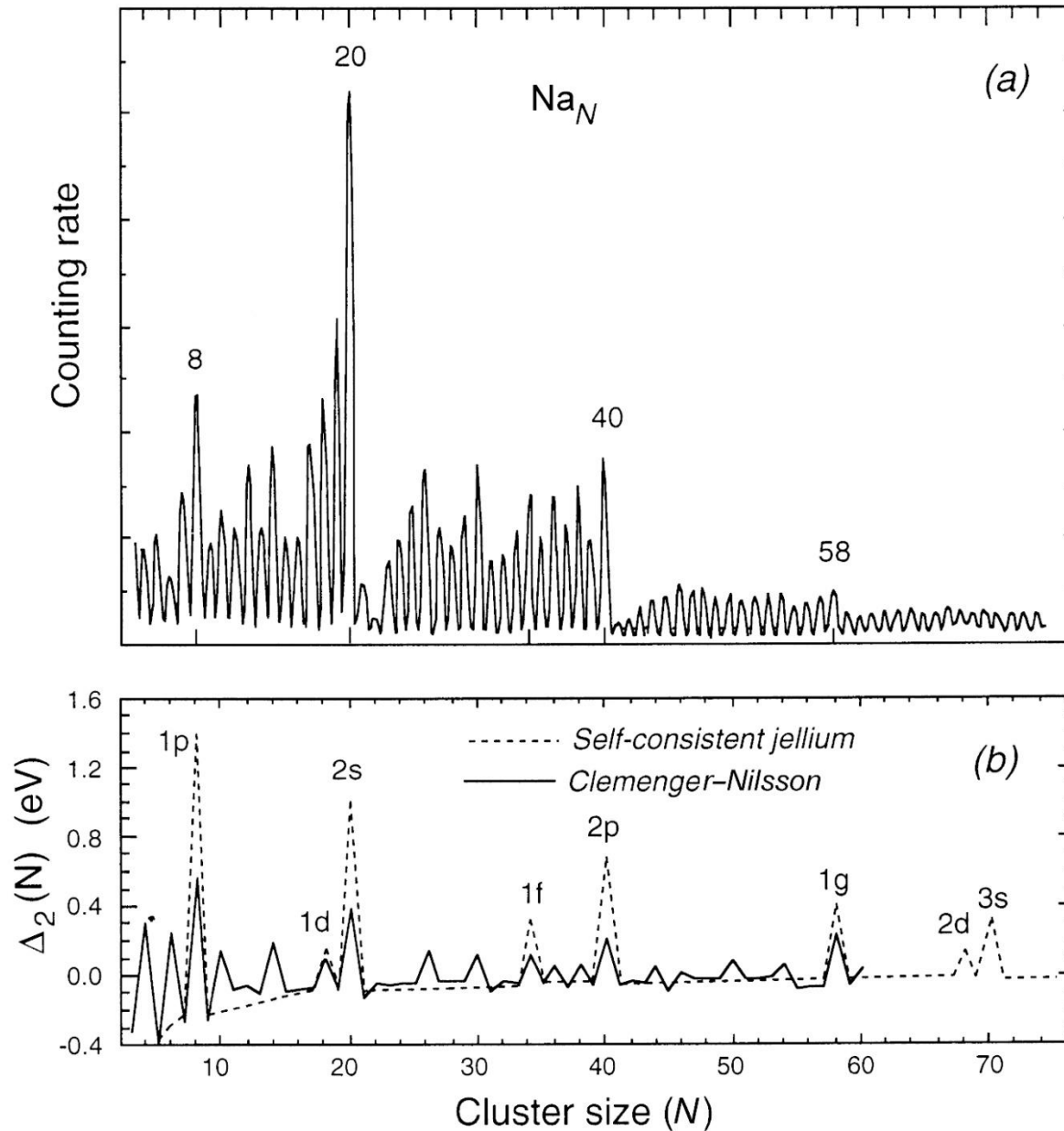


prolate  
deformation



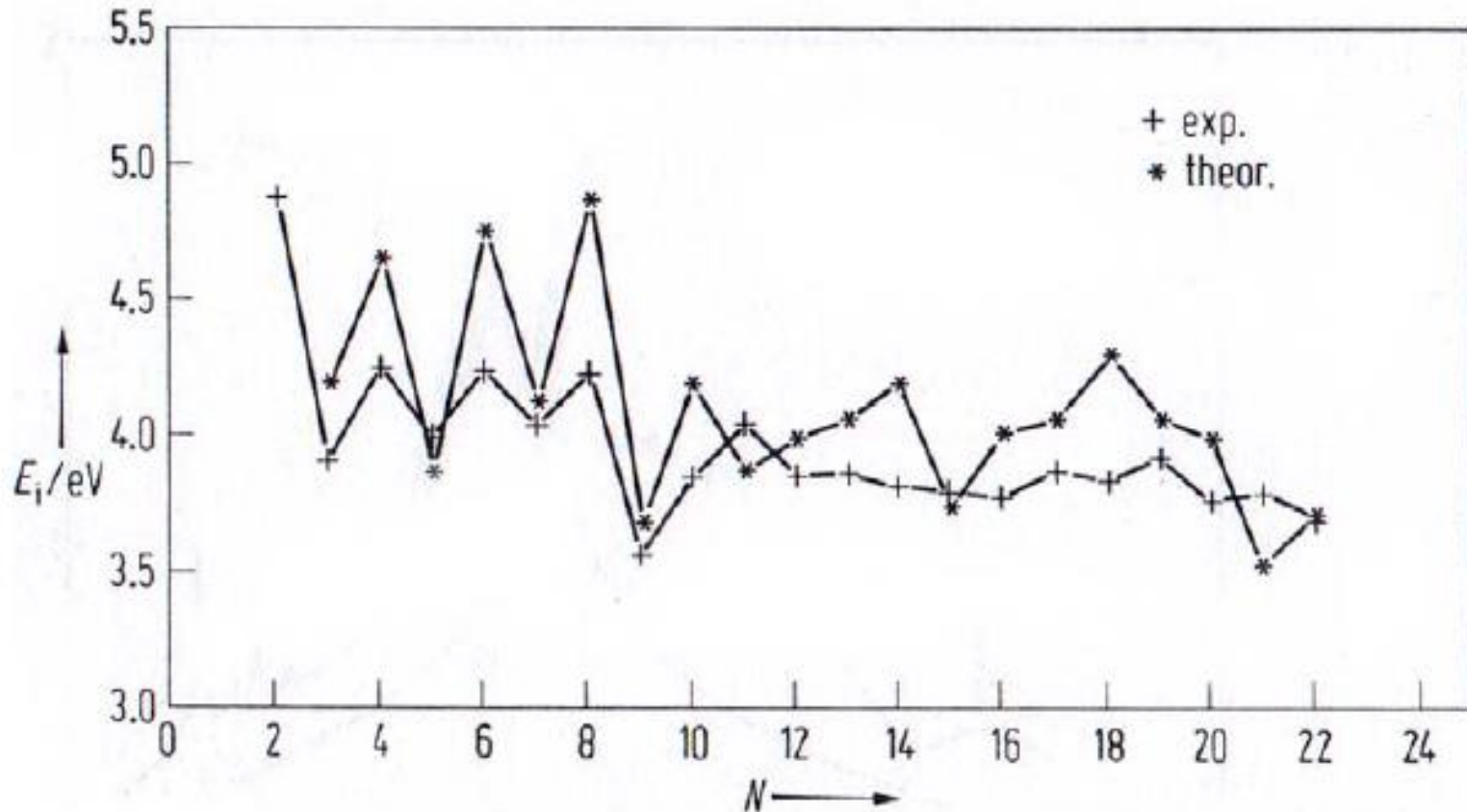
K. Clemenger, Phys.Rev. B 32, 1359 (1985)

# deformations explain the sub-shell closings

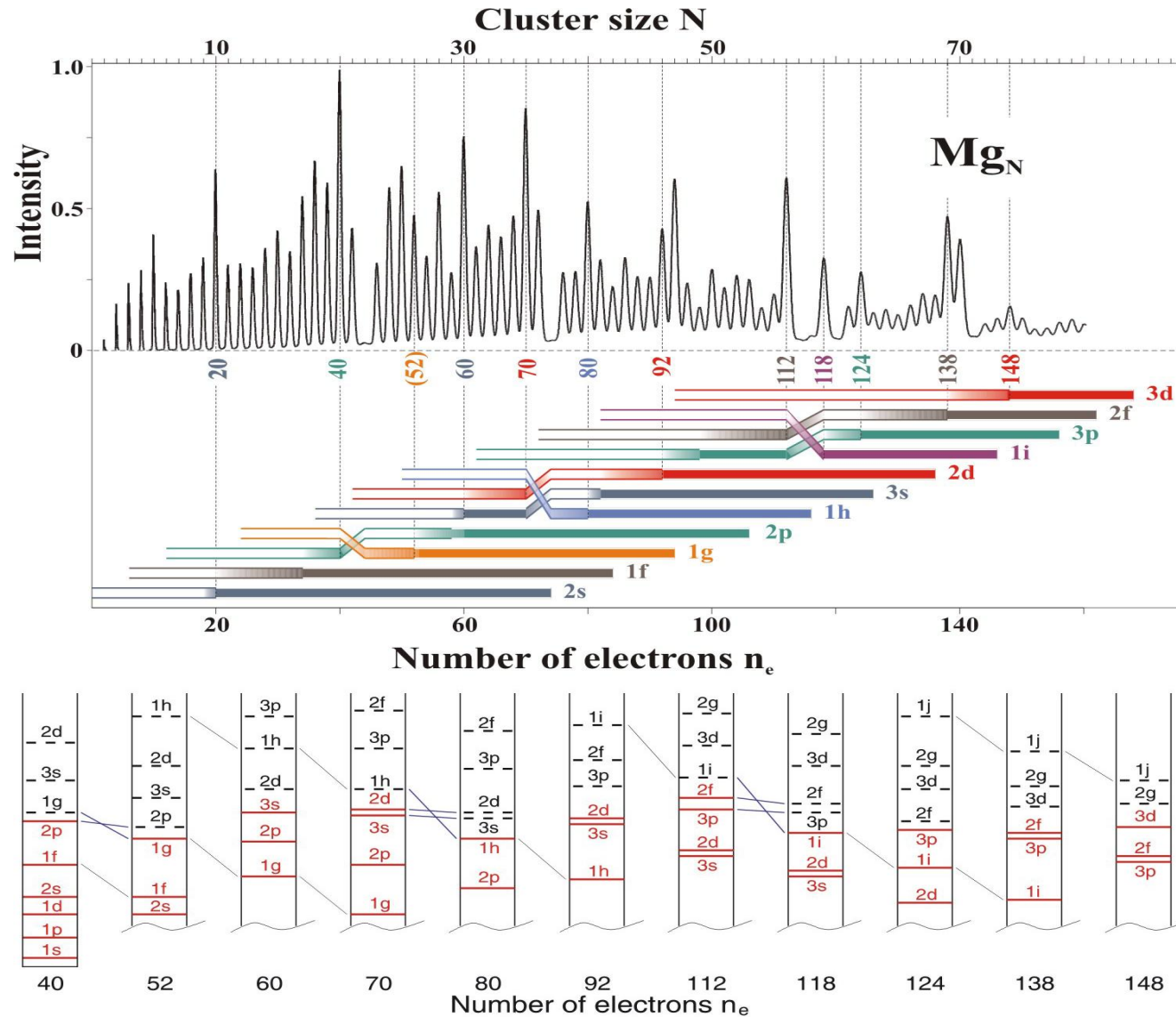


Knight et al.

# early direct evidence for electronic shells: ionization energies of Na clusters

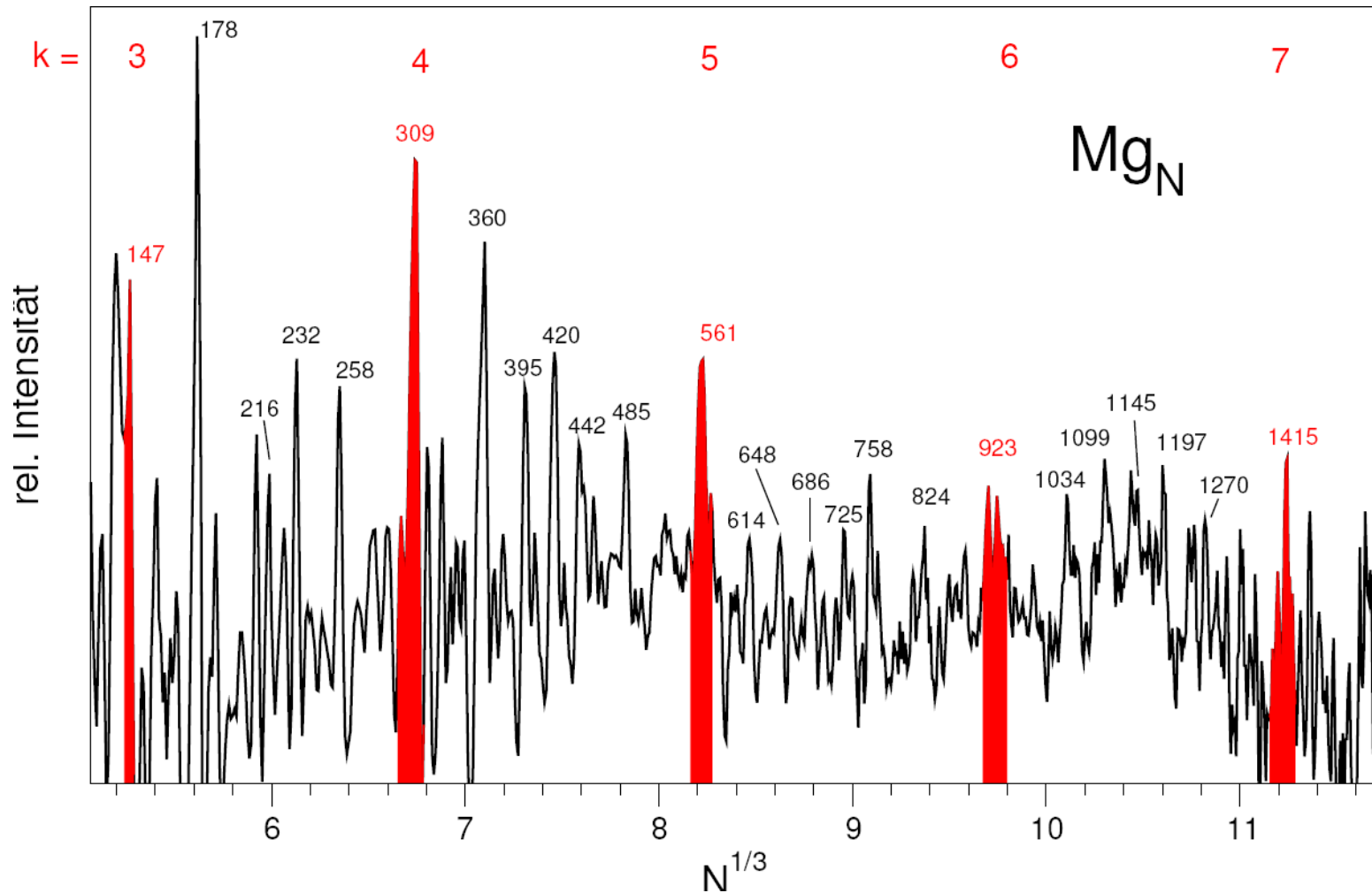


Small Magnesium clusters as another example for a jellium system.  
Now each atom contributes with two electrons

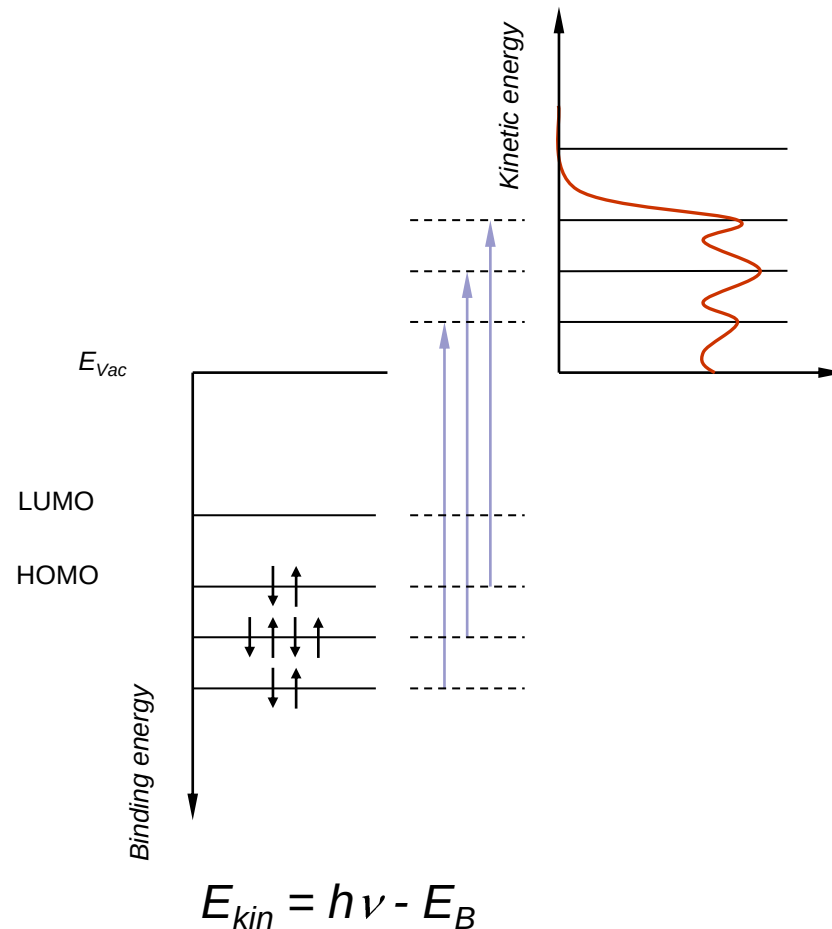


# Electronic vs. geometrical shell

Example: large  $Mg_N$  show both, electronic and geom. shells



# how to measure the electronic structure? by photoelectron spectroscopy



**technical challenge: extremely low target density as it is necessary to work with a *charged* cluster beam. Only charged clusters can be mass selected**

# Magnetic bottle electron spectrometer

$$r_i = \frac{v \sin \theta_i}{\omega_i}, \quad \checkmark$$

$$\frac{\sin \theta_f}{\sin \theta_i} = \sqrt{\frac{B_f}{B_i}}$$

$$(2) \Rightarrow \frac{\Delta E}{E} \approx \frac{B_f}{B_i}$$

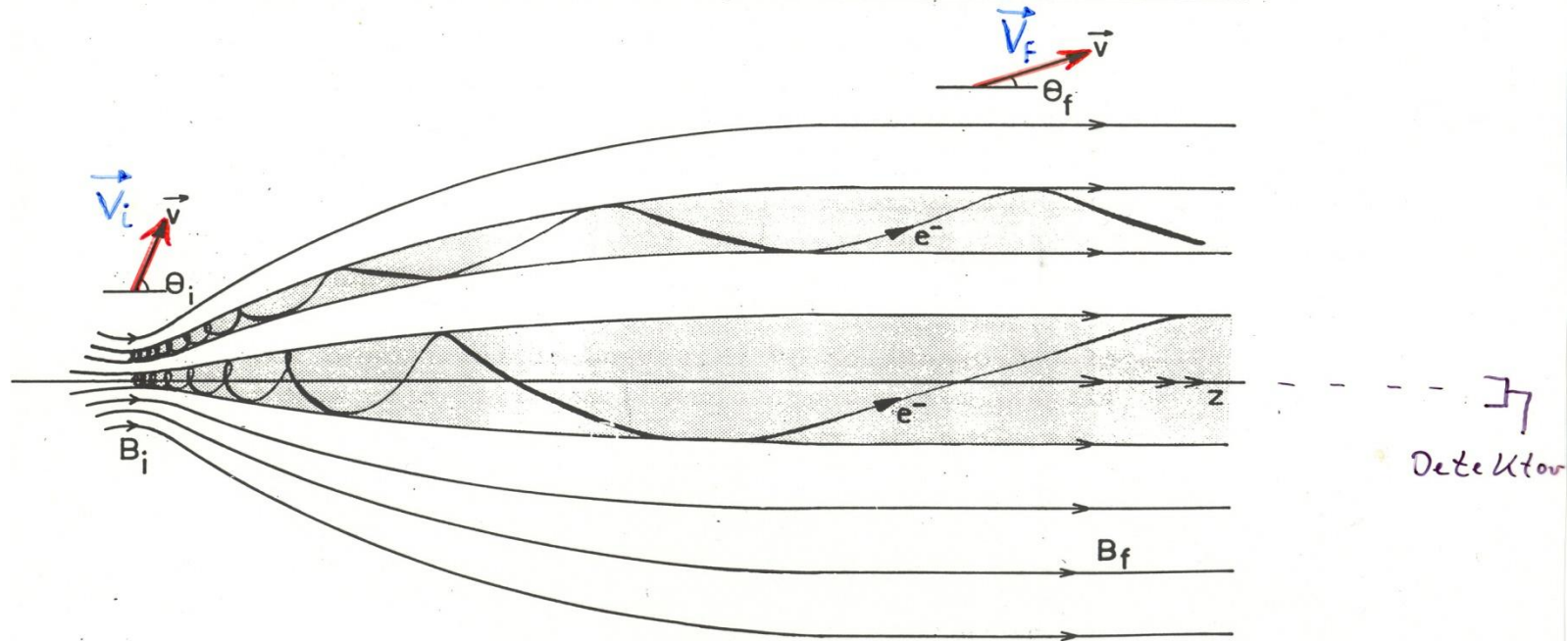


Figure 1

Schematic diagram showing the helical motion of an electron moving in a magnetic field that changes gradually from a strong field  $B_i$  to a weaker uniform field  $B_f$ .

Kruit, Read

in the limit of a strong gradient and a long drift area, the flight times of electrons with the same energy are independent of the emission angle.  
detection efficiency of about 50 %

# technical realization of a magnetic-bottle electron spectrometer

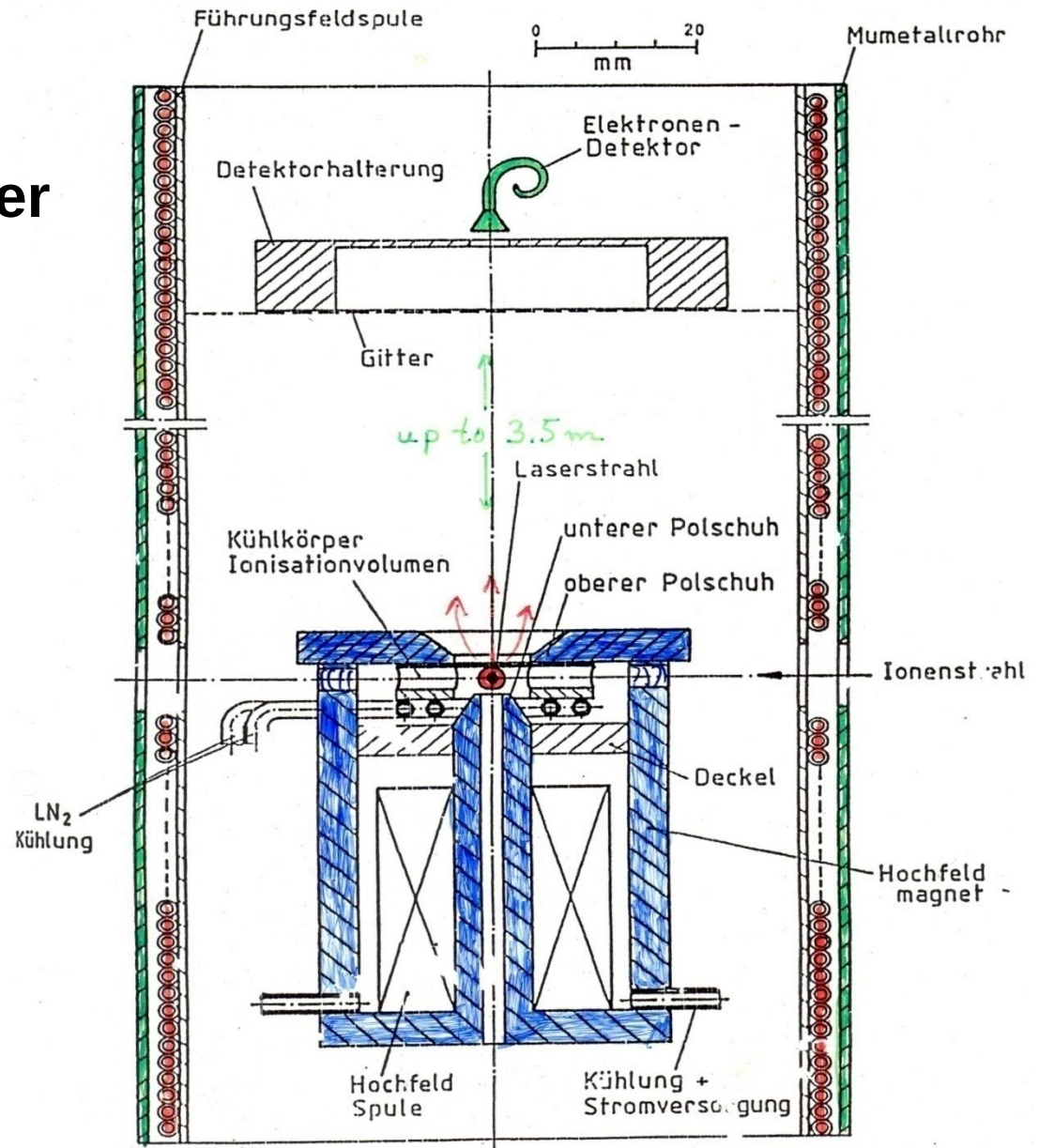
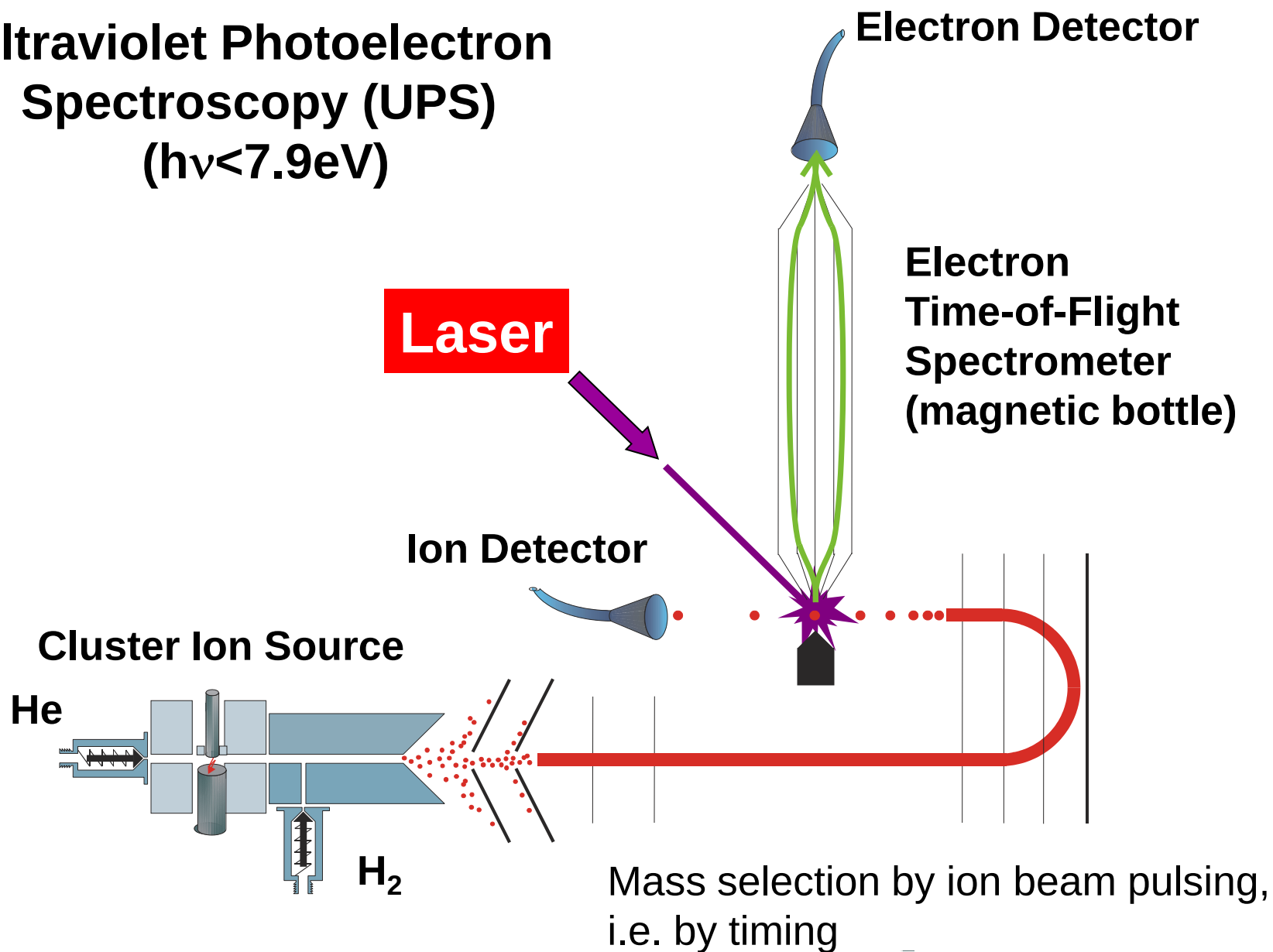


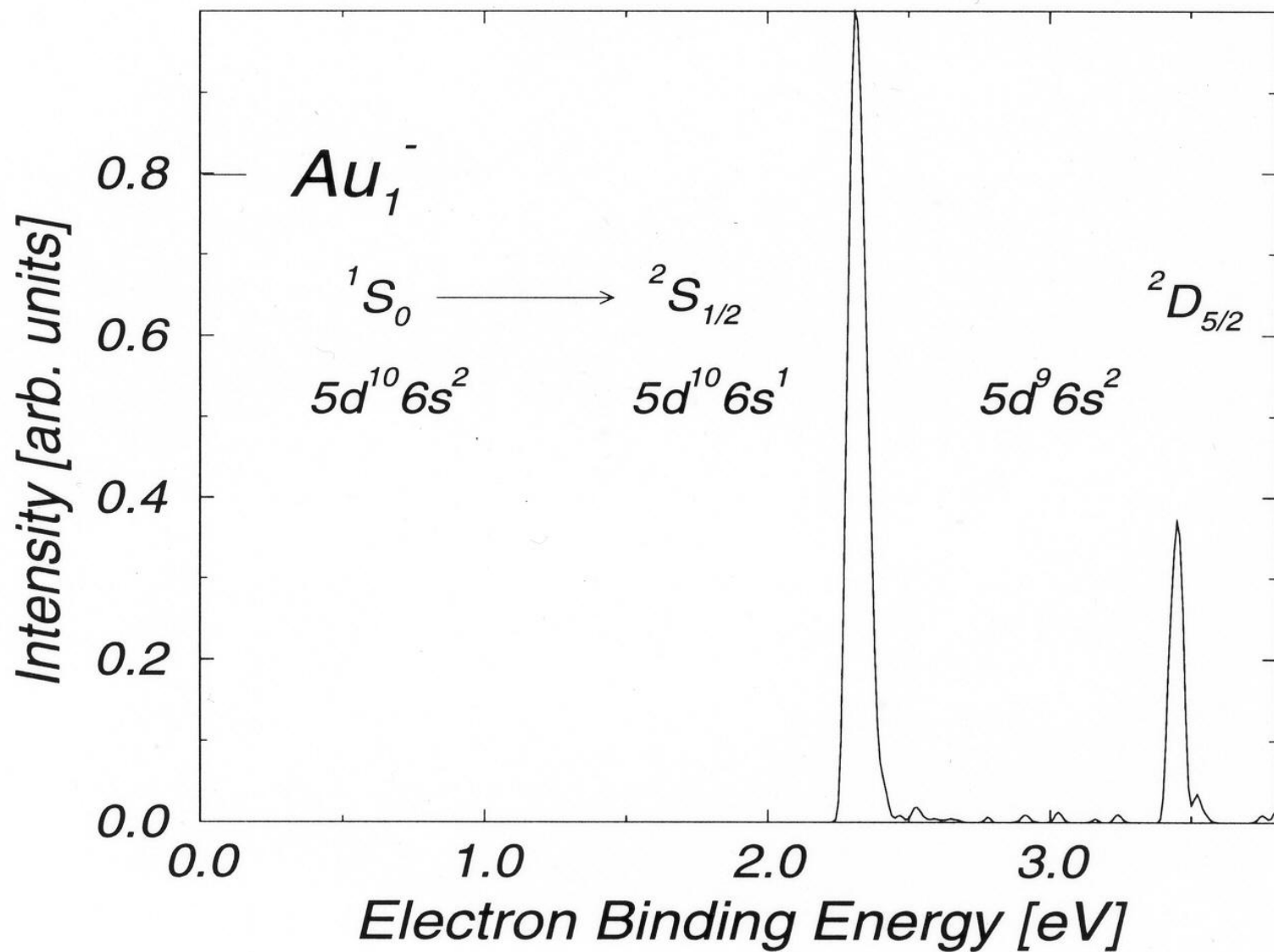
Abbildung 11: Schnitt durch den neuen Hochfeldmagneten

# Ultraviolet Photoelectron Spectroscopy (UPS) ( $h\nu < 7.9\text{eV}$ )



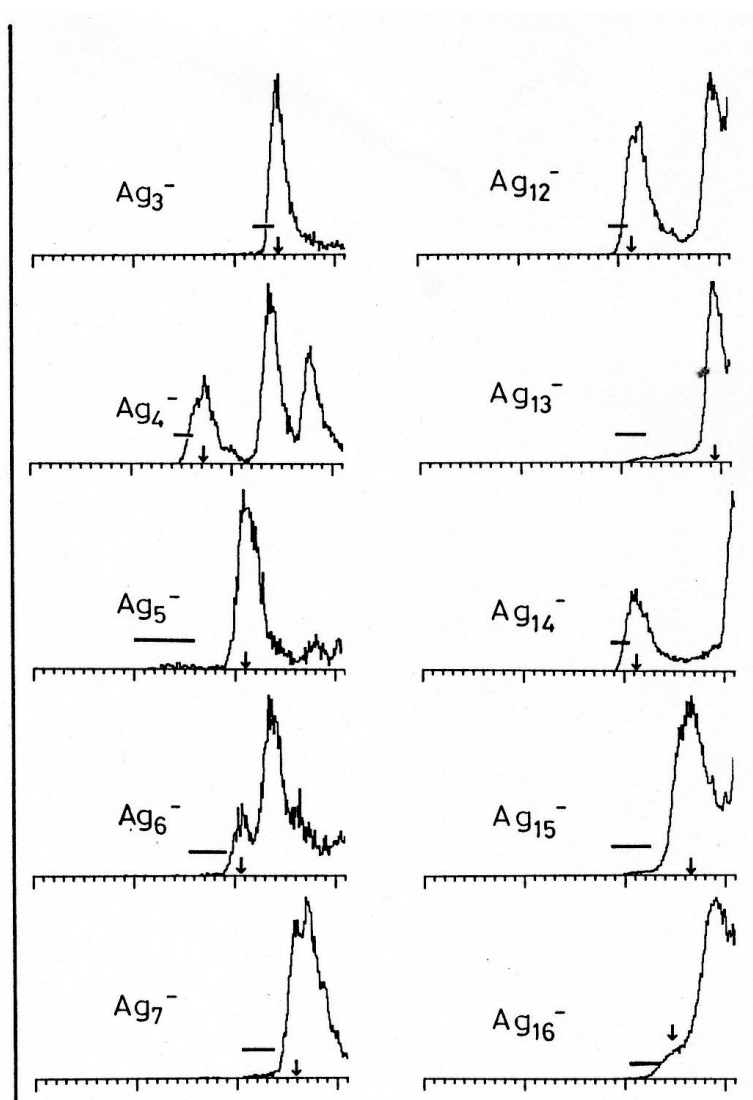
Setup Ganteför group, Konstanz

# Calibration mag. bottle spectrometer with $Au_1^-$

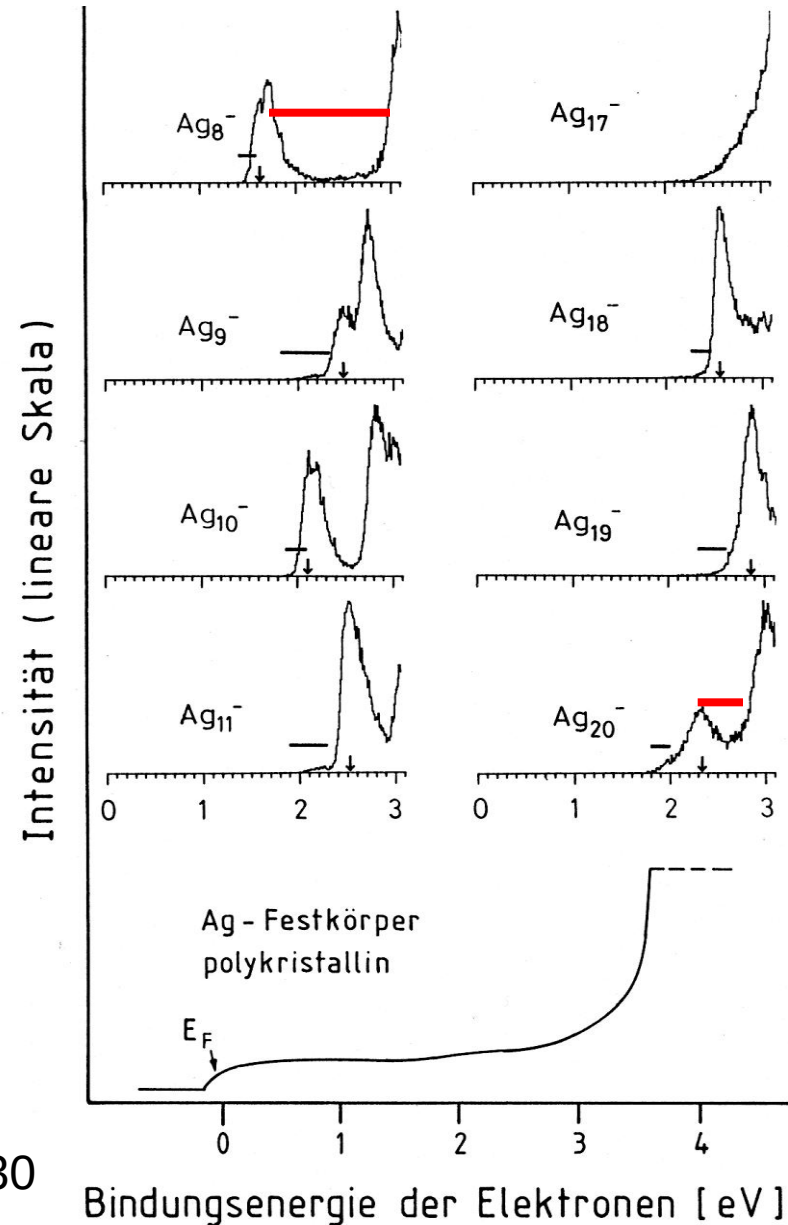


Group Meiwes-Broer, Rostock

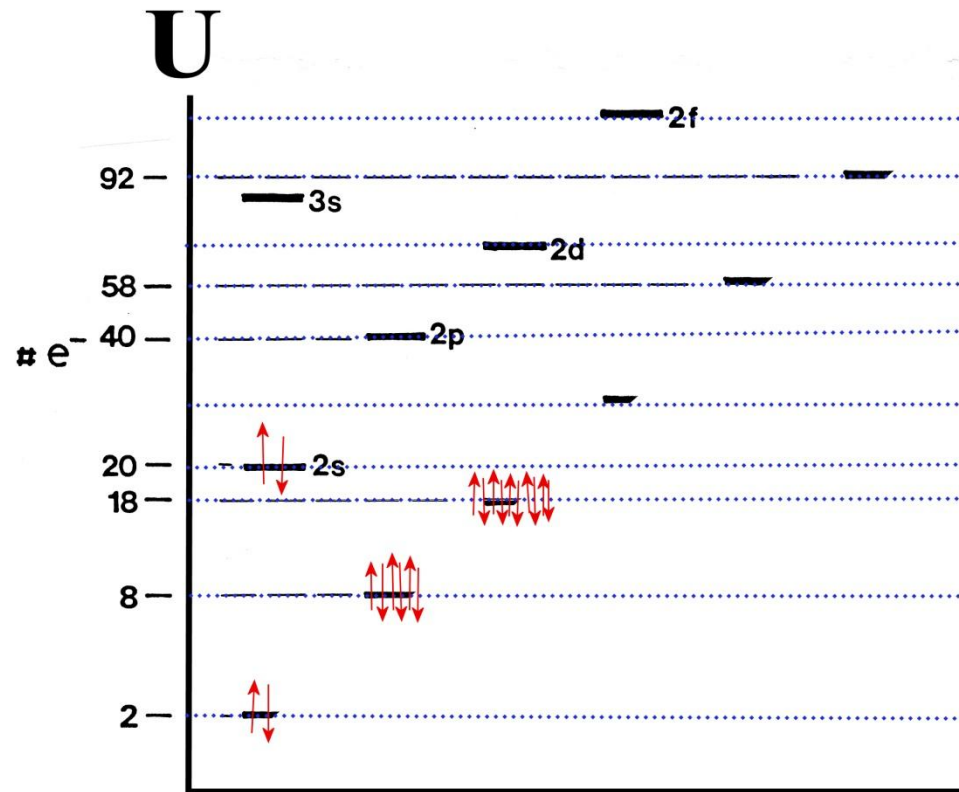
# photoelectron spectra from $\text{Ag}_N^-$



Meiwes-Broer, Appl. Phys. A55 (1992) 430

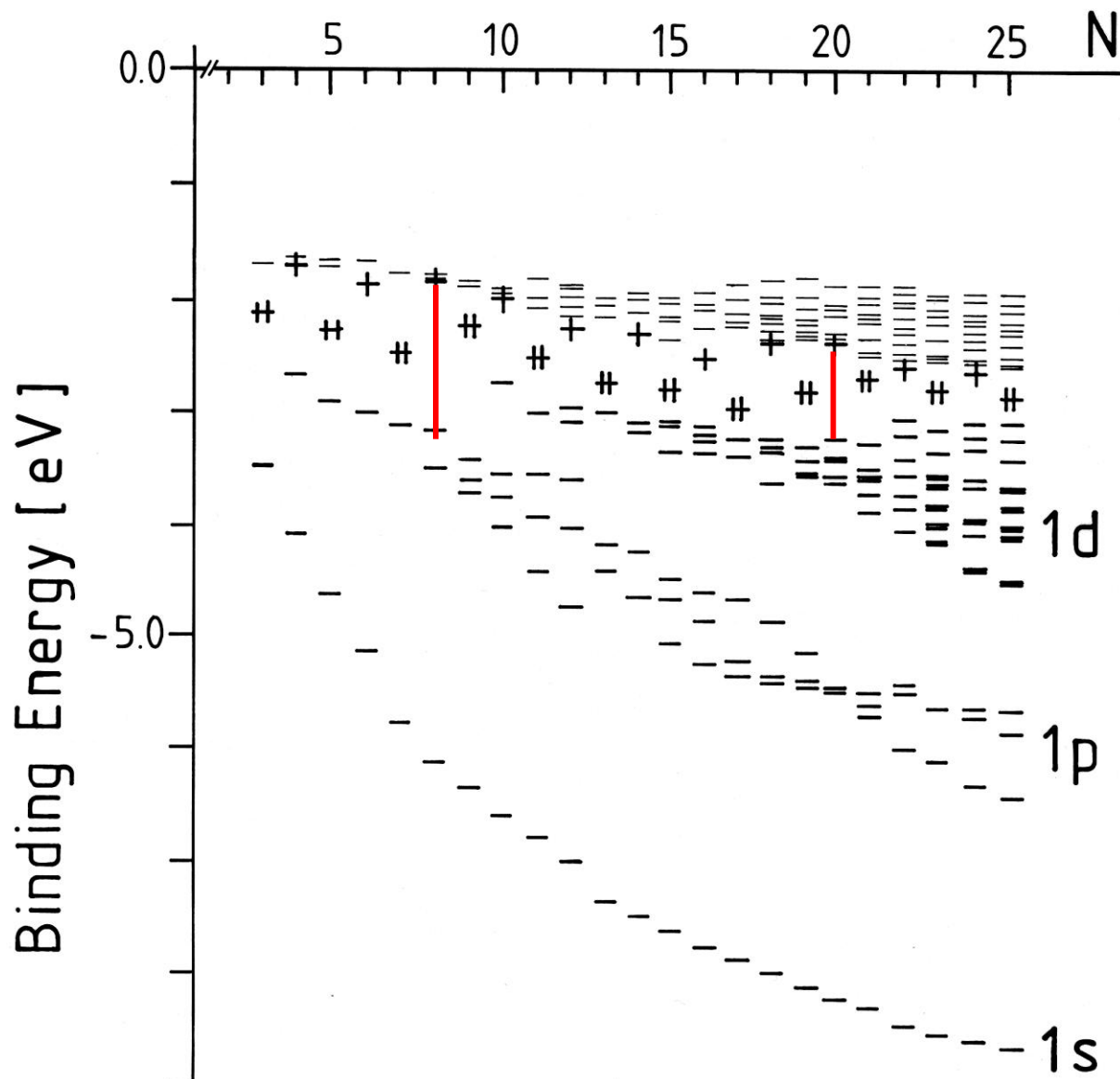


# comparison with the jellium model

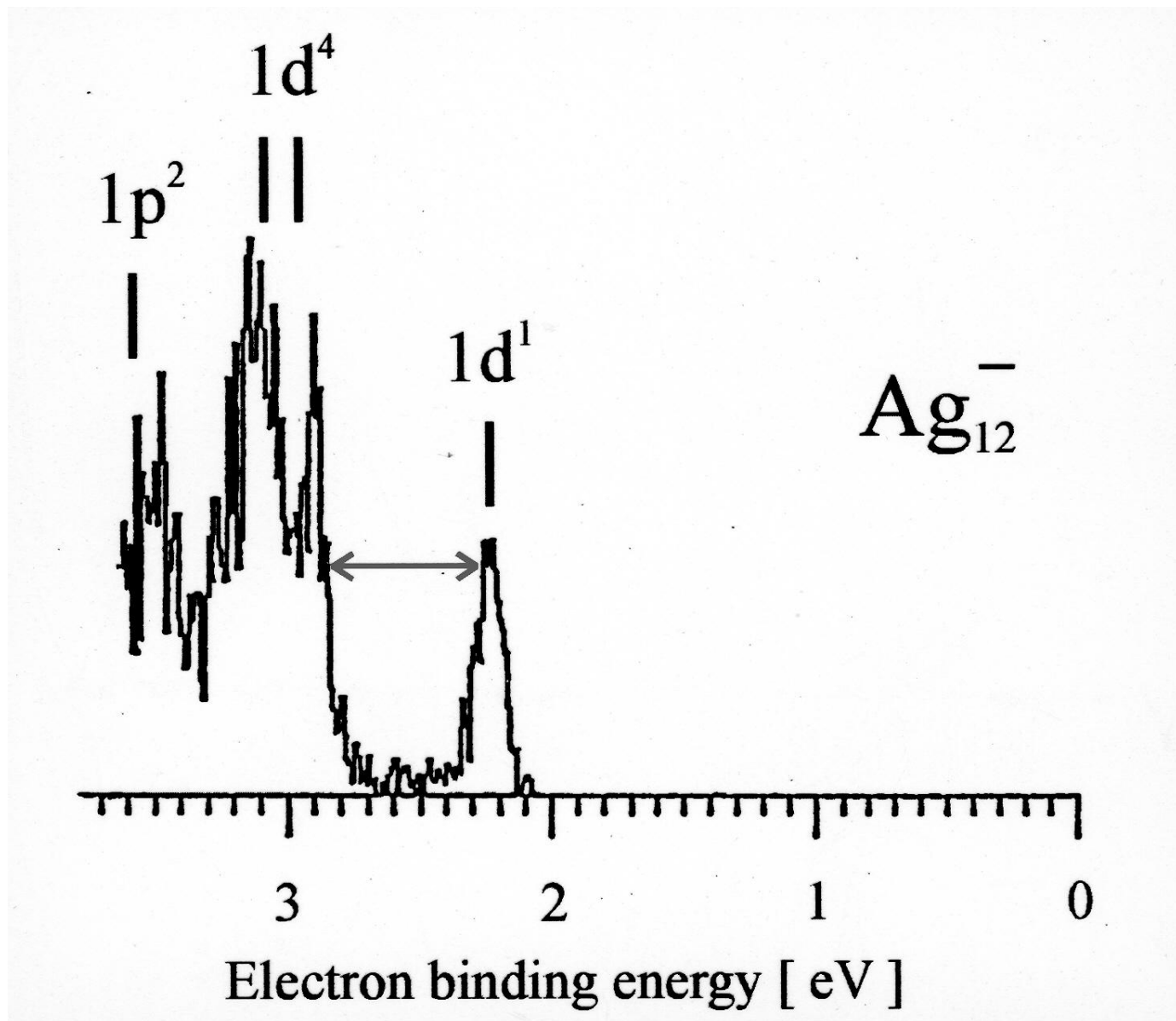


pronounced energy gaps after 8 and 20 electrons, but there are more gaps due to lifting of degeneracy

# $\text{Ag}_N^-$ effective medium theory



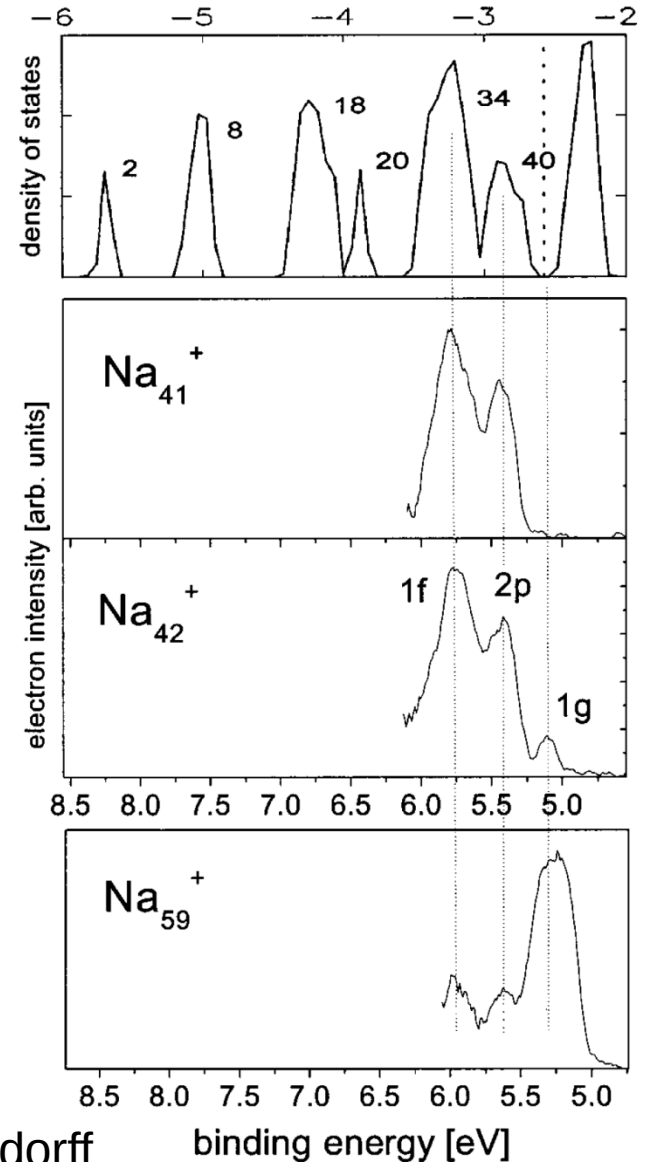
**Better theory:**  
**One-electron energy levels**  
**of  $\text{An}_N^-$  by tight-binding**  
**calculations within the**  
**effective medium theory**



fine details of the electronic structure can be resolved

# comparison PES with jellium calculations

Density of states from  
KS single-particle  
energy eigenvalues



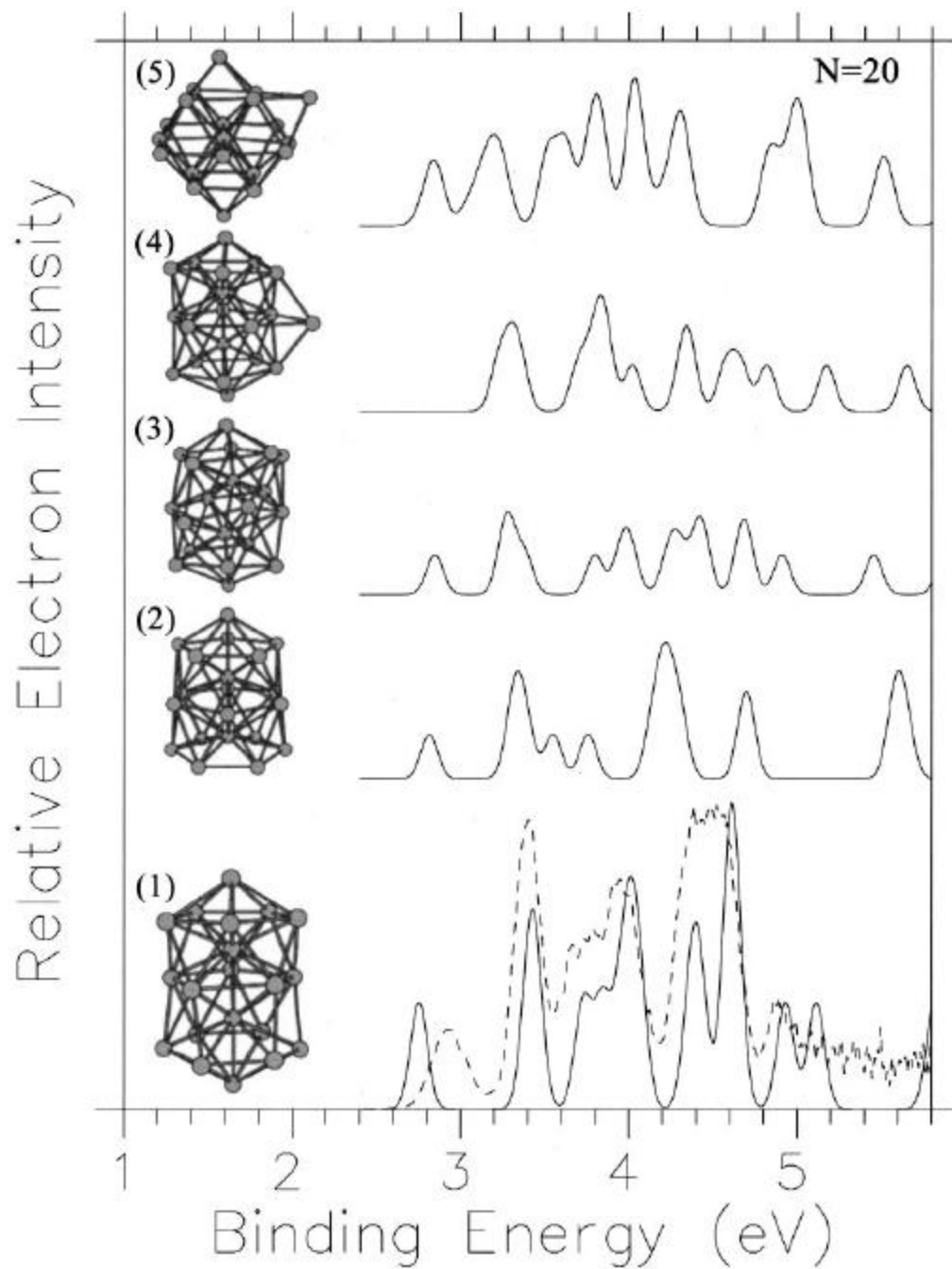
G. Wrigge, M. Astruc Hoffmann, and B. v. Issendorff  
PRA65, 063201(2002)

# $\text{Al}_{20}$ PES vs. calc.

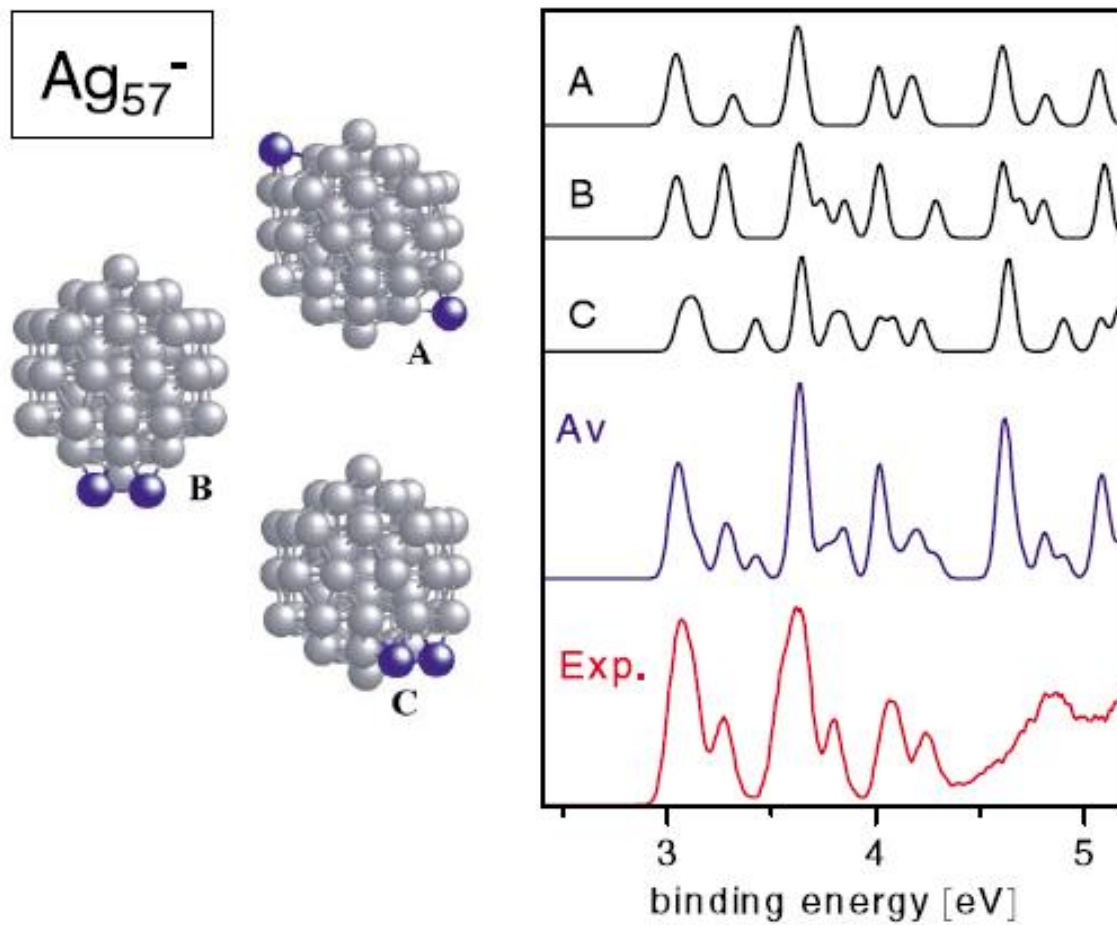
in this case:  
mainly one isomer contributes

dashed curve: measured  
spectrum

Akola et al., PRB 62, 13 216  
(2000)



## close-lying isomers



here: all three isomers contribute to the experimental spectrum

# PES on coinage metal clusters

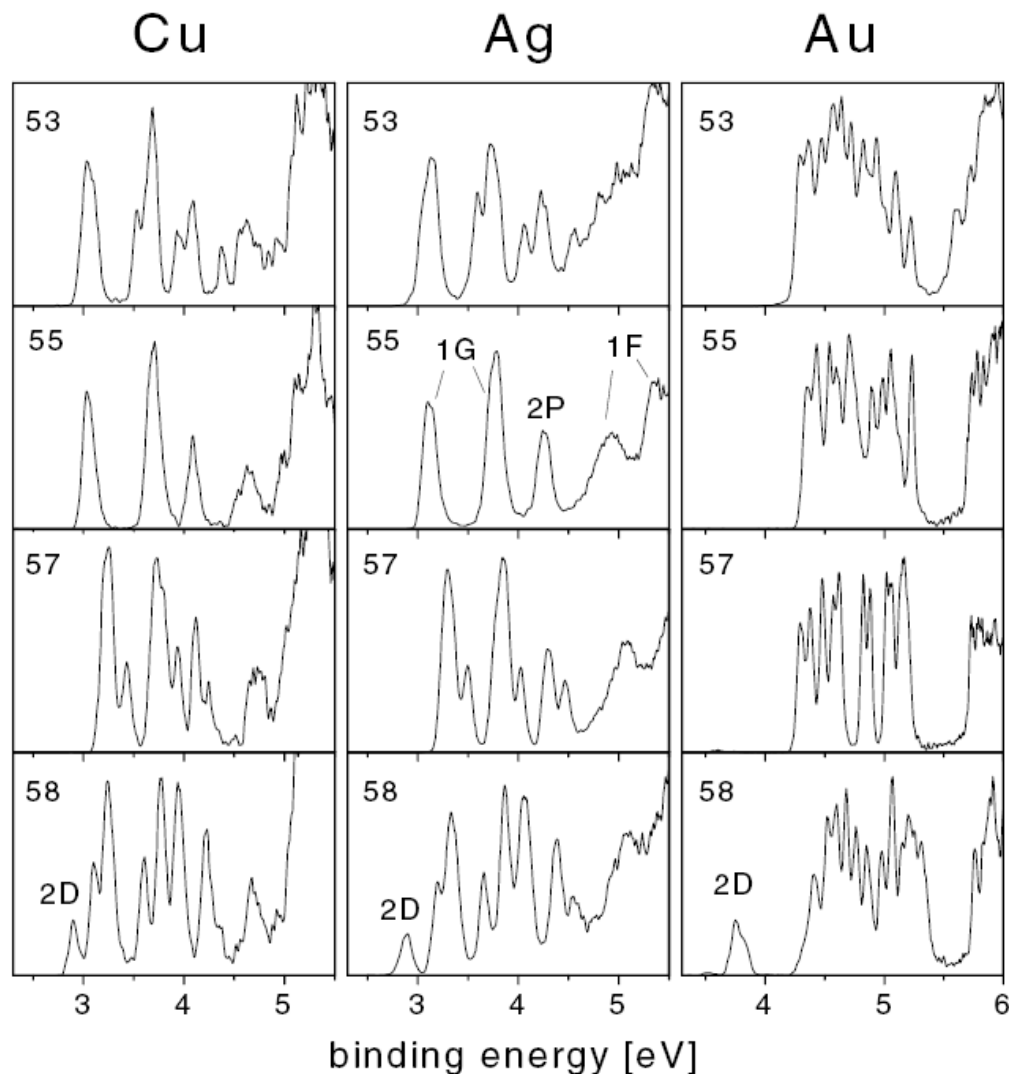
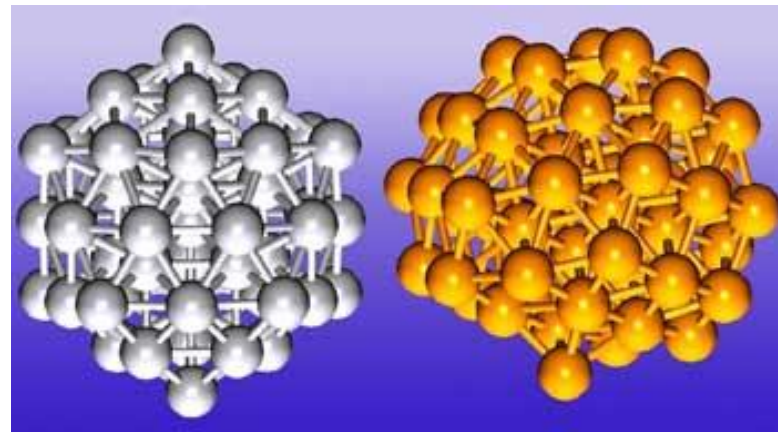


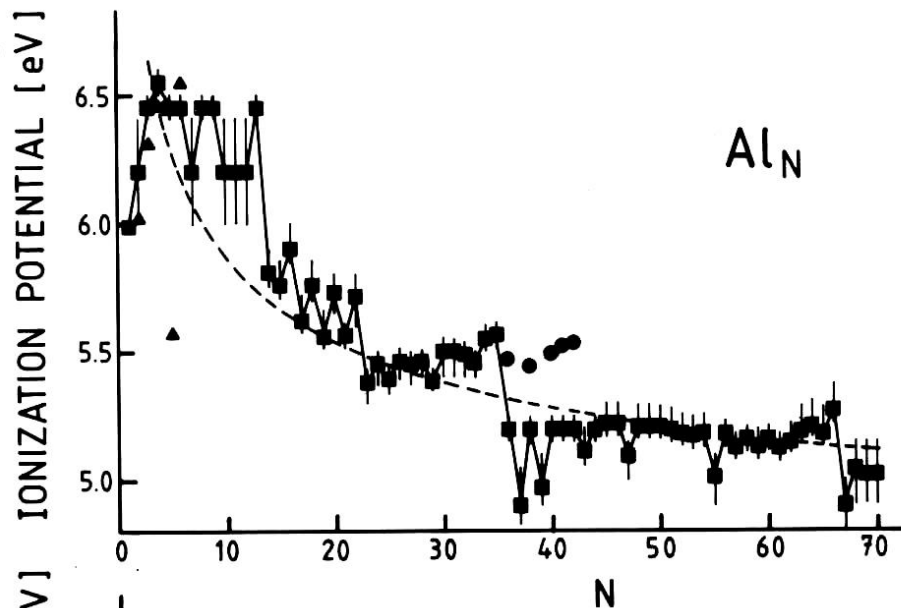
FIG. 1. Photoelectron spectra of  $\text{Cu}_n^-$ ,  $\text{Ag}_n^-$ , and  $\text{Au}_n^-$  ( $n = 53, 55, 57, 58$ ) obtained at a photon energy of 6.42 eV.



chemically similar systems may have similar PE spectra.  
Exception: Gold, due to relativistic effects

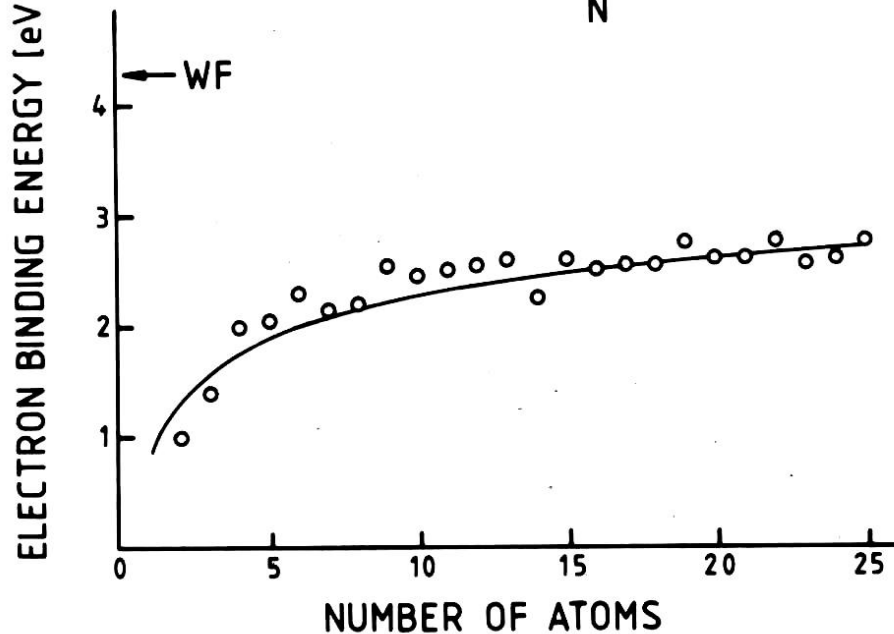
Hannu Hakkinen, Michael Moseler, Oleg Kostko, Nina Morgner, Margarita Astruc Hoffmann, and Bernd v. Issendorff, PRL 93 093401(2004)

# **Trends in photoemission threshold energies**



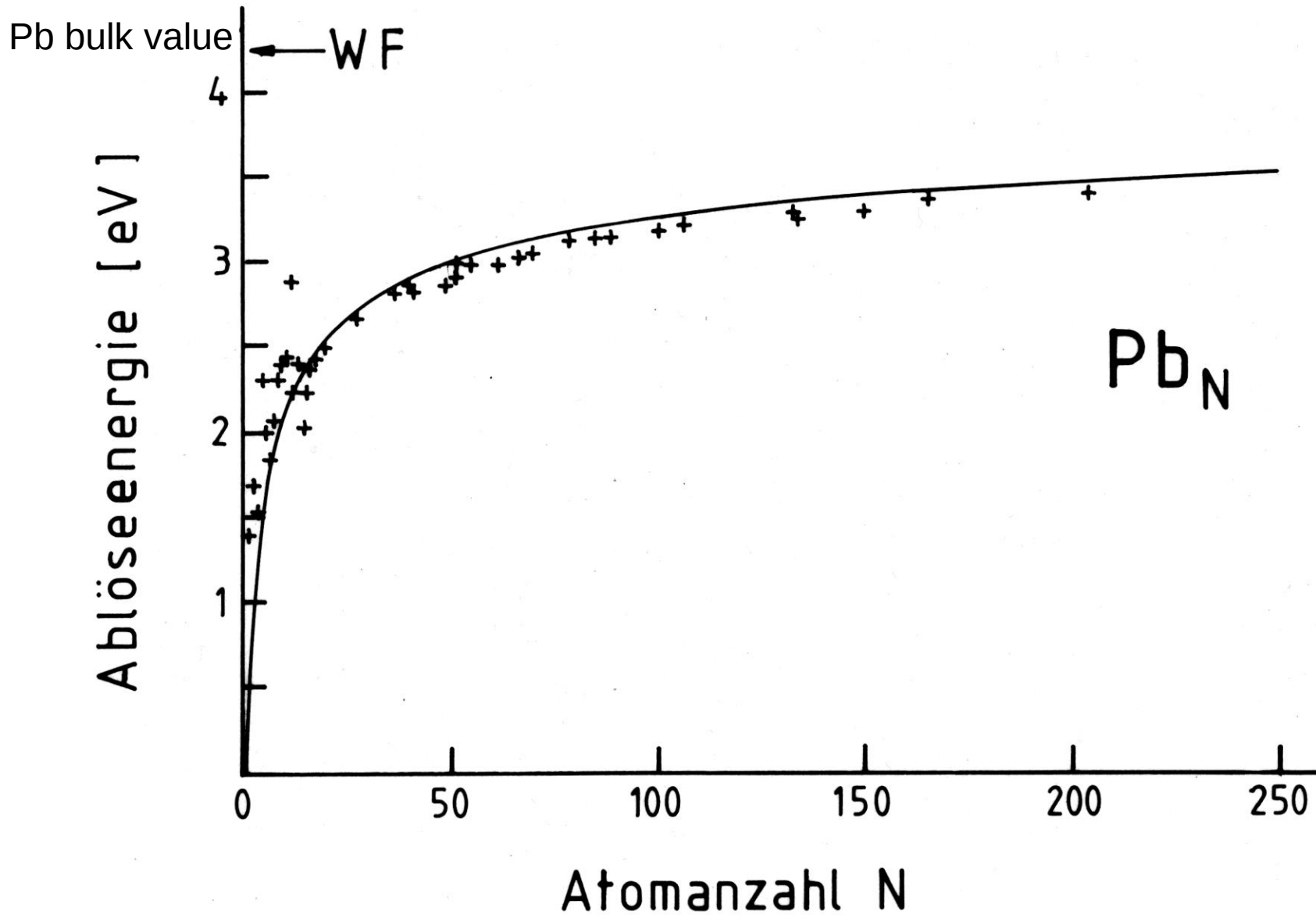
generally:

- IPs decrease with increasing  $N$
- electron detachment en. or EAs rise with increasing  $N$



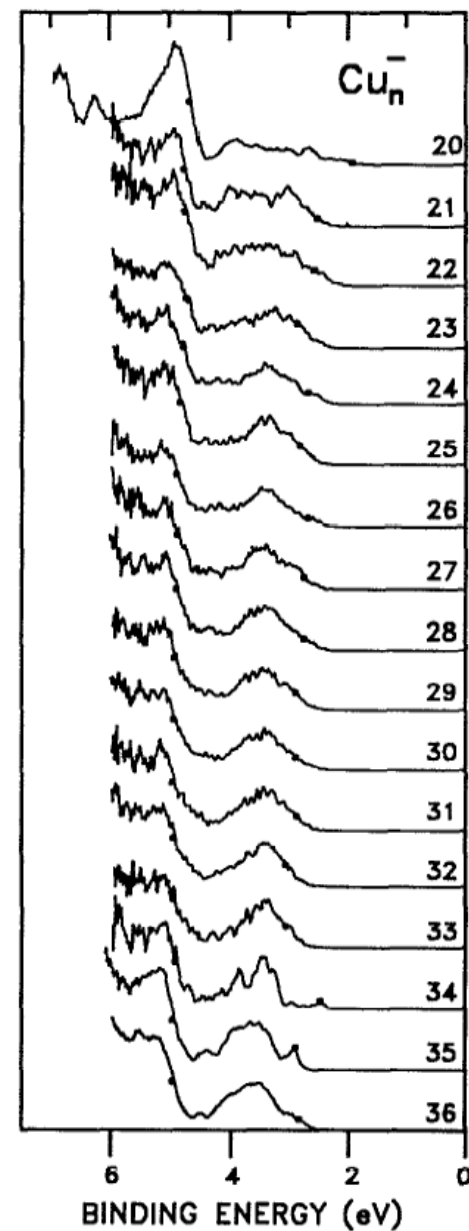
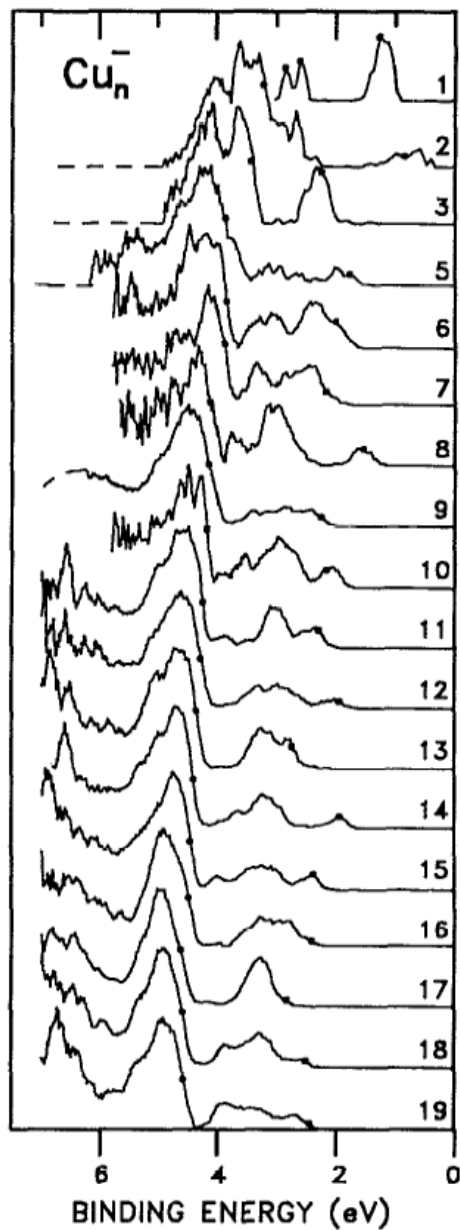
Ionization potentials (top, by R. Whetten) and electron affinities (bottom, Meiwes-Broer group) of Aluminium clusters

# threshold energies: electron detachment



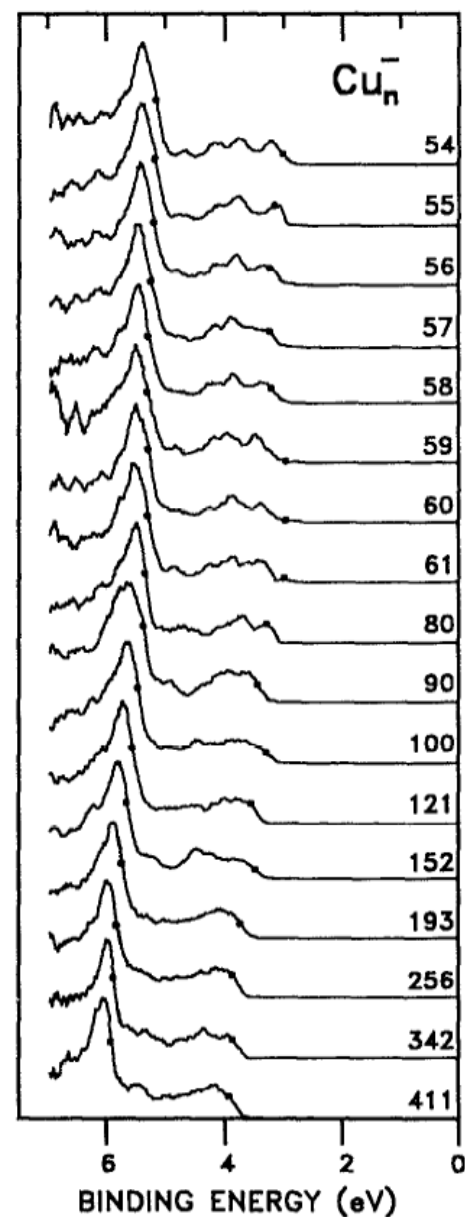
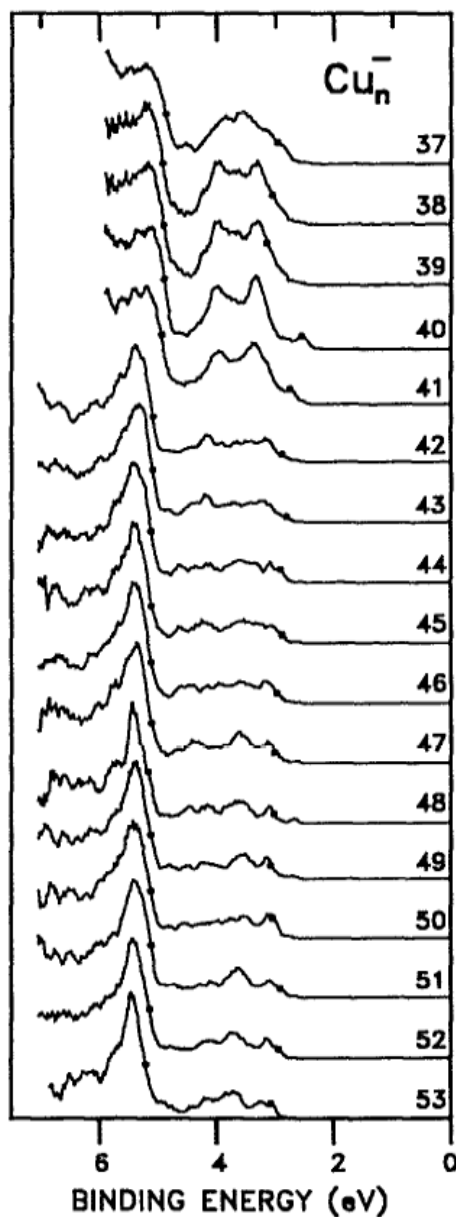
Gausa, Hector, Lüder, Meiwes-Broer

$\text{Cu}_N^-$  PE thresholds  
rise with increasing  
cluster size

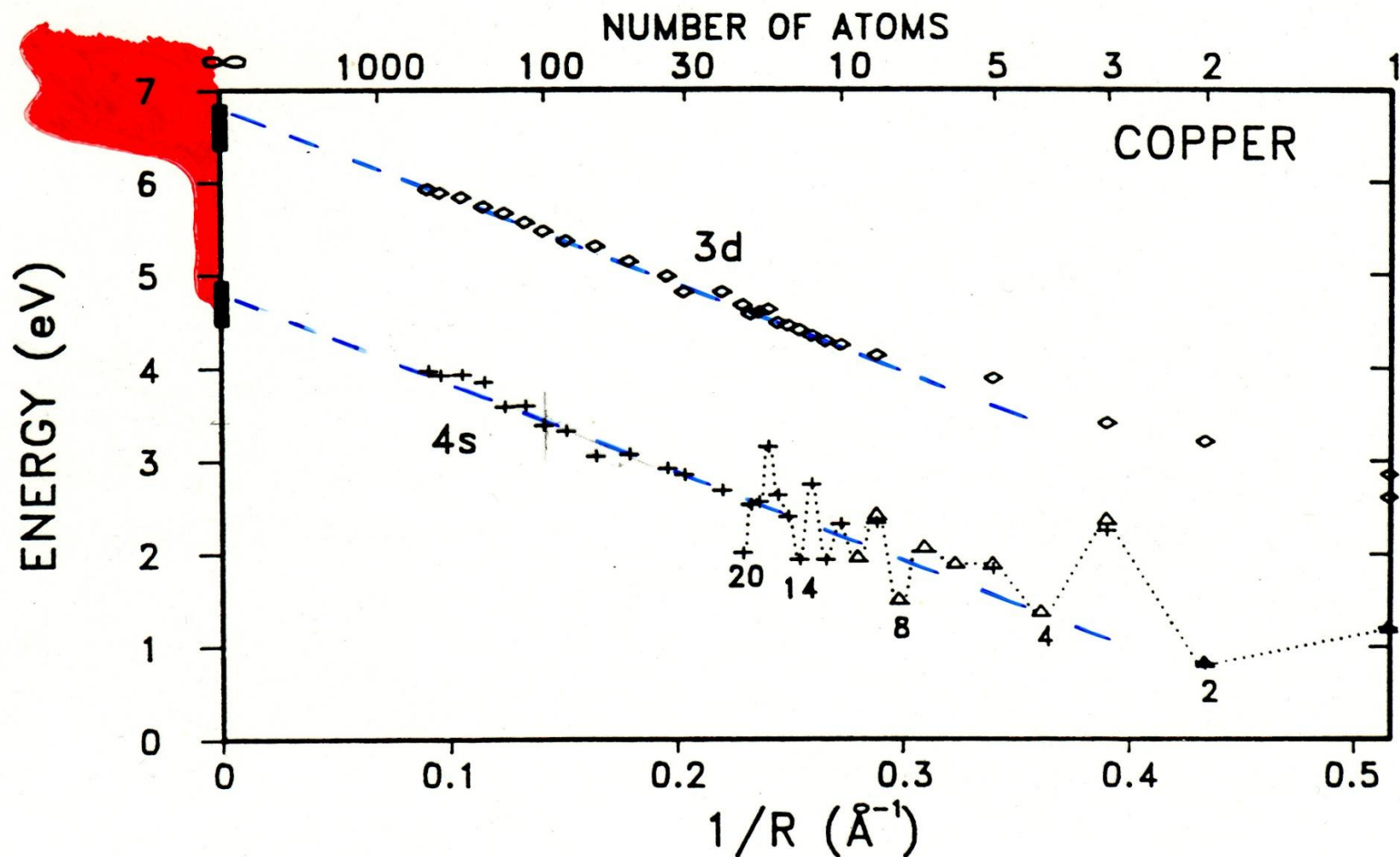


# $\text{Cu}_N^-$ PES

threshold energies  
increase with N



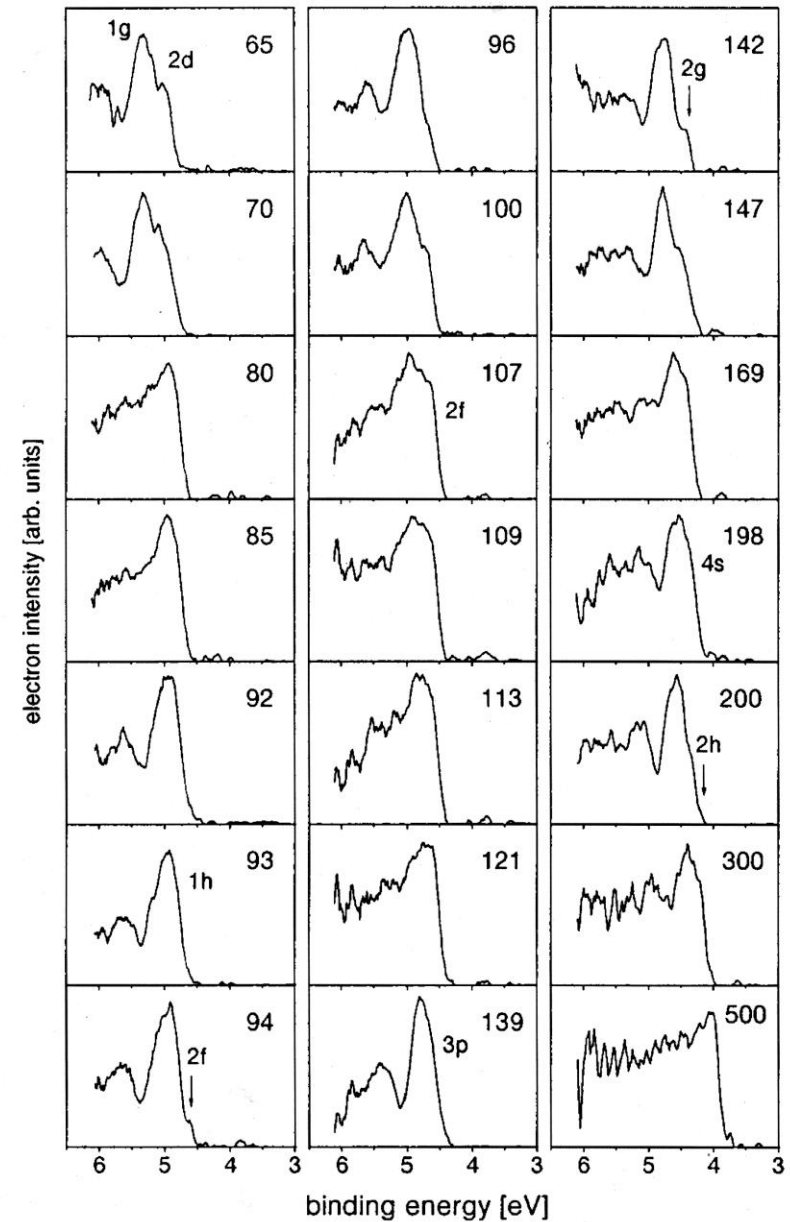
# N-dependent shift of low-lying levels: 3d in $\text{Cu}_N$

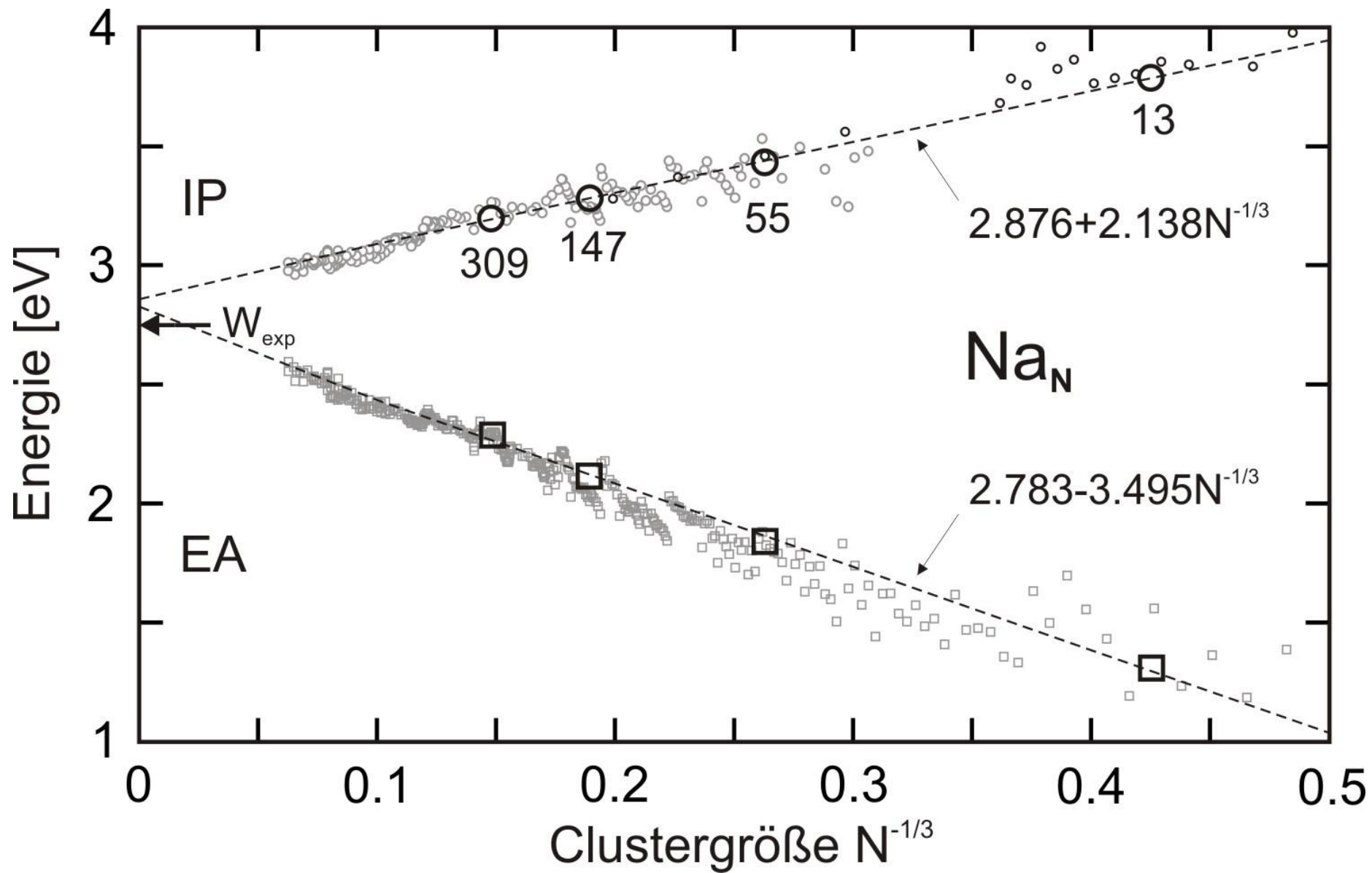


Cheshnovsky, Smalley et al.

# photoionization thresholds of neutral clusters

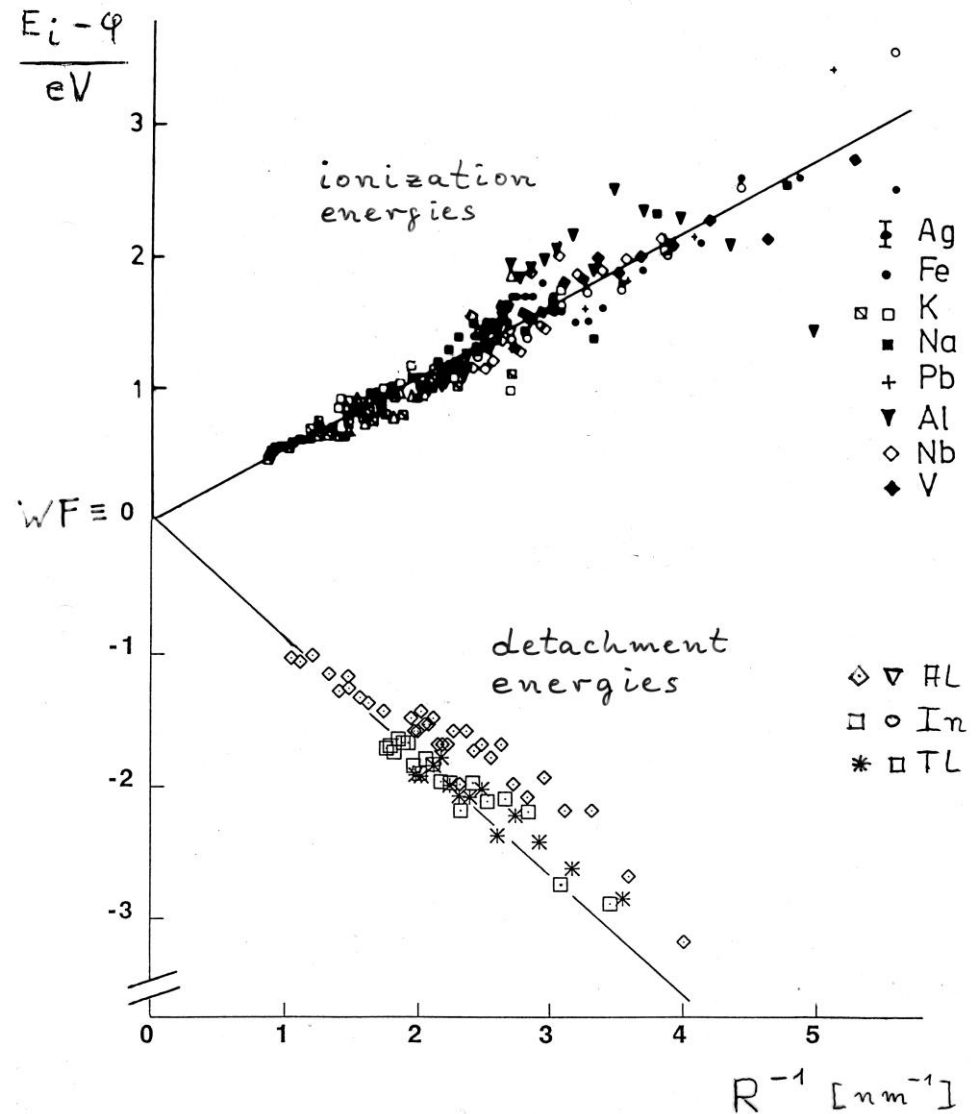
Na<sup>+</sup> photoelectron spectra





DFT calculations Fennel et al., Rostock, measurements von Issendorff et al., Freiburg

# experimental values for the IP and the EA



Meiwes-Broer, Appl. Phys. A55 (1992) 430; also Bergmann/Schaeffer

generally:

- the IPs decrease with increasing N
- the EAs rise with increasing N

Parametrization:

$$IP(R) = WF + \alpha \frac{e^2}{R} \quad \text{with } \alpha = 3/8 \dots 1/2$$

$$EA(R) = WF - \beta \frac{e^2}{R} \quad \text{with } \beta = 1/2 \dots 5/8$$

$\alpha = \beta = 1/2$  corresponds to the charging energy of a jellium sphere, deviations arise from QM exchange and correlation

**let us evaluate IP and EA simultaneously**

$$IP(R) = WF + \alpha \frac{e^2}{R} \quad \text{with } \alpha = 3/8 \dots 1/2$$

$$EA(R) = WF - \beta \frac{e^2}{R} \quad \text{with } \beta = 1/2 \dots 5/8$$

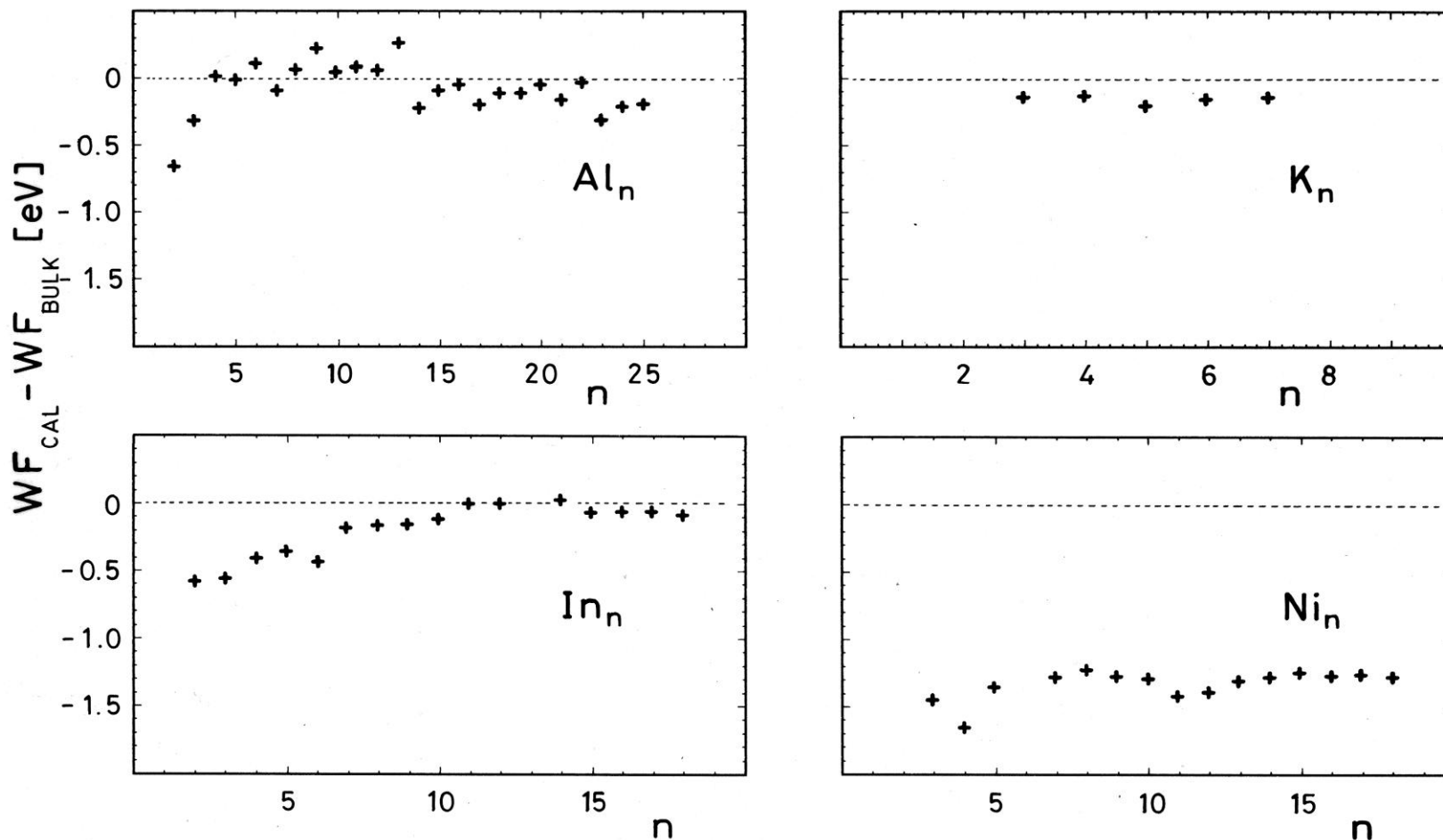
$\alpha - \beta$  is small

solve for WF:  $WF_{cal} = \frac{1}{2} (IP + EA) + \frac{1}{2} \frac{e^2}{R} (b - a)$

$$WF_{cal} \approx \frac{1}{2} (IP + EA)$$

thus the work function should be the mean value of IP and EA !

# differences between measured IP and EA and bulk values



Small or no differences hint at free electron (or: ideal metal droplet) behaviour

Meiwes-Broer in *Advances in Metal and Semiconductor Clusters*, Vol. 1

M. Duncan, Ed., JAI Press Inc., 1993